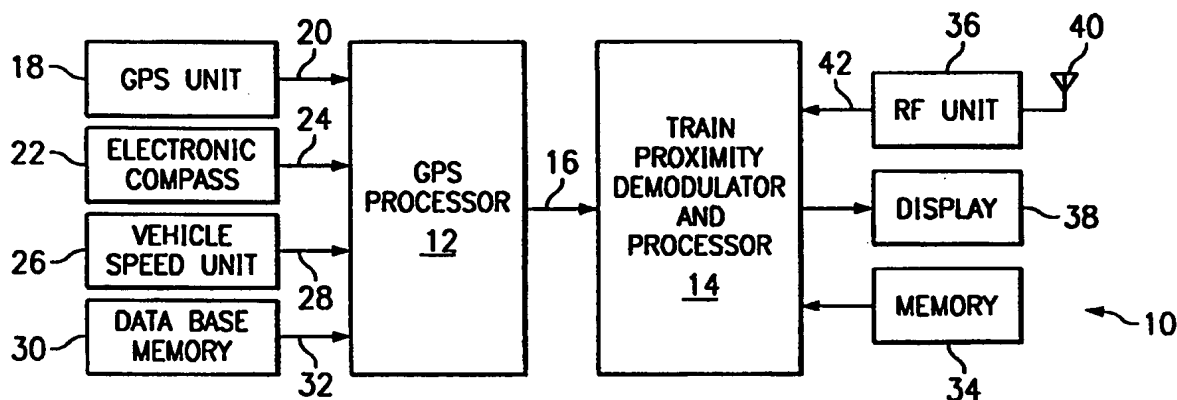




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>G01S 3/02, G08G 1/01, B61L 29/00, 25/00, G08G 1/16, 1/095</b>		<b>A1</b>	(11) International Publication Number: <b>WO 99/09429</b>
			(43) International Publication Date: 25 February 1999 (25.02.99)
(21) International Application Number: PCT/US98/17099 (22) International Filing Date: 18 August 1998 (18.08.98)  (30) Priority Data: 60/055,998                      18 August 1997 (18.08.97)                      US  (71) Applicant (for all designated States except US): DYNAMIC VEHICLE SAFETY SYSTEMS, LTD. [US/US]; 205 S. Parker, Amarillo, TX 79106 (US).  (72) Inventor; and (75) Inventor/Applicant (for US only): ERICK, Jack, M. [US/US]; 6220 Cedar Hollow Drive, Amarillo, TX 79124 (US).  (74) Agents: CHAUZA, Roger, N. et al.; Sidley & Austin, Suite 3400, 717 N. Harwood Street, Dallas, TX 75201 (US).		(81) Designated States: AU, CA, JP, MX, NZ, US, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.</i> <i>With amended claims and statement.</i>	

(54) Title: COLLISION AVOIDANCE USING GPS DEVICE AND TRAIN PROXIMITY DETECTOR



## (57) Abstract

A processor (12) located on a vehicle processes GPS coordinates input thereto to provide an area of protection around the vehicle. Railroad grade crossing data (82, 84) stored in a data base (30) is read therefrom to ascertain whether a grade crossing is within the area of protection. If so, heading data (86) stored in association with the grade crossing data (82, 84) is compared with the heading of the vehicle to determine if the vehicle is on a road that intersects the grade crossing. If so, a first level alert is provided to the vehicle operator. If, in addition, a train is in the vicinity of the crossing, a second level alert is provided to the operator of the vehicle.

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**COLLISION AVOIDANCE USING GPS DEVICE  
AND TRAIN PROXIMITY DETECTOR**

**TECHNICAL FIELD OF THE INVENTION**

The present invention relates in general to collision avoidance systems, and more particularly to techniques for sensing when a vehicle is on a collision course with a train.

## BACKGROUND OF THE INVENTION

There is an increasing concern with the number of accidents at railroad crossings. Collisions with trains are generally catastrophic, in that the destructive forces of a train are usually no match for any other type of vehicle. Indeed, federal and state regulations require that many types of vehicles, termed "priority vehicles", take special precautions before crossing a "grade" railroad crossing. For example, school buses, hazardous cargo carriers and other emergency vehicles are often required to stop at railroad crossings and verify the absence of an oncoming train before proceeding. A "grade" railroad crossing is where a motor vehicle highway, street or road directly intersects a railroad track. An intersection of a highway and a train track that involves an overpass is not a "grade" crossing, as no collision would occur even if the vehicle and train arrived at the same location at the same time.

The safety at railroad crossings has become of such significance that new federal agencies and studies have been undertaken to improve the grade crossing safety procedures. In view that a substantial number of fatalities occur every year due to collisions with trains, there has been an increased endeavor to provide sensors and detectors to warn oncoming traffic of the proximity of an approaching train. U.S. Pat. No. 5,739,768 describes a train proximity detector that provides a sensory indication to an operator when the vehicle and the train are located proximate each other. The train proximity detector of such patent receives the unique frequency transmitted by the train from the head end to the last car thereof. The carrier frequency transmitted by the train is decoded to identify certain data in the frame of transmitted data to thereby verify that the transmission originated from a train. While the train proximity detector functions very efficiently for its intended purpose, the operator of the vehicle will be given a warning of the proximity of the train, even if the train and vehicle are not on a collision course. For example, if the train and the car are traveling together, but in parallel paths, and there is no intersection between the road and the railroad track, the operator of the vehicle is nevertheless warned about the proximity of the train.

Other suggested devices attempt to overcome this problem, but at the expense of additional complexity, cost and apparatus that is required to be added to the equipment of the train. For example, in U.S. Pat. No. 4,942,395, by Ferrari, the train transmits on a first

frequency to a receiver located at an intersection, and a second frequency is transmitted from a transmitter at the crossing to oncoming vehicles. In this manner, the vehicles do not directly receive the train transmission, and the vehicles are only provided a warning when in the proximate vicinity of the railroad crossing.

5 U.S. Pat. No. 5,554,928 by Shirkey et al. discloses a wireless train proximity alert system in which both a locomotive and vehicle rely on GPS coordinates for proper operation. In this system, the locomotive computes the train speed based on the GPS coordinates and transmits the coordinates and the train speed to a grade crossing transceiver. The grade crossing transceiver receives such information and computes an  
10 estimated time of arrival of the train. When the estimated time of arrival is within about 20-30 seconds of the grade crossing, the grade crossing transceiver transmits the coordinates of both the crossing and a boundary warning zone. A receiver mounted in a vehicle receives the coordinates of the grade crossing as well as the coordinates of the boundary warning zone around the grade crossing. In addition, the vehicle itself has a GPS receiver  
15 for receiving the coordinates of the vehicle. A controller determines if the vehicle is then within the boundary of the warning zone. If so, the controller determines if the vehicle is within a predetermined range of the crossing and if so, an alarm signal is provided. The predetermined range calculated by the vehicle controller is dependent upon vehicle speed and the braking distance of the vehicle which is a function of the type of vehicle.

20 Many other types of vehicle and train proximity detectors are proposed in the prior art. Many of the proposed techniques involve complicated and expensive equipment that must be added either to the train or to the vehicle, or both. It can be appreciated that in order for train proximity detectors to be installed on vehicles, in general, the equipment must be efficient, reliable and cost effective.

25 From the foregoing, it can be seen that a need exists for an improved train proximity detector that utilizes currently available resources to provide an operator of a vehicle with a sensory indication when the vehicle is in the vicinity of the train, and on a collision course therewith. Another need exists for an improved train proximity detector that relies on the presence of a train by conventional transmissions therefrom, as well as  
30 relies on global positioning satellite (GPS) data for determining the location and direction of travel of the vehicle, whereby when such data is processed, it can be determined whether

the vehicle is on a collision course with the train. A subsidiary need exists for a train proximity detector that has available data identifying each grade railroad crossing and corresponding compass bearing data of the roads crossing the railroad track.

## SUMMARY OF THE INVENTION

In accordance with the principles and concepts of the invention, there is disclosed an improved train proximity detector that substantially reduces or overcomes the problems and disadvantages of the prior art devices.

5 In accordance with a preferred embodiment of the invention, disclosed is a train collision avoidance system that not only determines if a train is in the vicinity of the vehicle, but also if the train and the vehicle are both moving toward a common intersection where a collision would be inevitable. In the preferred form of the invention, the train collision avoidance system includes a first processor for receiving GPS longitude/latitude parameters  
10 to define the location of the vehicle. The first processor also includes as an input a compass or bearing for providing the direction of travel of the vehicle. Lastly, the first processor has access to a data base memory storing railroad grade crossing locations. The grade crossing location data stored in the data base is associated with heading or bearing information of all roads that intersect the railroad tracks. Operating in conjunction with the  
15 first processor is a second processor that detects the proximity of the train. The second processor is fully disclosed in U.S. Pat. No. 5,739,768, and is coupled to the first processor by an I/O bus. The train proximity detector is sensitive to train transmissions within about at least 1500-2000 feet from the train.

The GPS longitude/latitude coordinates of the vehicle are processed by the first  
20 processor to undergo a ranging function. The ranging function involves the elimination of various least significant digits of the longitude and latitude coordinates, thereby providing an area of protection around the vehicle of, for example, 800 meters. Next, the first processor searches through the data base memory to find all the grade crossing locations that fall within the protection area situated about the vehicle. If no affirmative grade  
25 crossing is found in the data base, then the first processor continues by receiving another GPS longitude/latitude coordinate and compass bearing parameter and undergoes the same processing. If a grade crossing is found in the data base memory to be within the area of protection around the vehicle, then the first processor determines if the vehicle is on the same heading as the road that intersects the railroad tracks. This is accomplished by  
30 comparing the vehicle bearing with the direction data stored in association with the grade crossing data stored in the data base. If a match is found as a result of this second

comparison, a signal is provided on the I/O bus connected to the second processor. The second processor is programmed to determine if a train is in the proximate area of the vehicle by sensing whether any train is transmitting on its allocated frequency. If no train is transmitting on its frequency, then a first level, or alert indication is provided to the operator of the vehicle. In the event that the second processor has indeed detected the presence of a train in the vicinity of the vehicle, then a second level, or warning is provided to the operator of the vehicle. The first and second levels constitute different visual and audible signals to the vehicle driver to provide the requisite significance of the situation.

In other variations of the invention, the area of protection about the vehicle can be a function of the speed of the vehicle. In other words, if the vehicle speed is greater than a threshold speed, then the area of protection automatically increases.



## BRIEF DESCRIPTION OF THE DRAWINGS

Further features and advantages will become apparent from the following and more particular description of the preferred embodiment of the invention, as illustrated in the accompanying drawings in which like reference characters generally refer to the same parts, elements or functions throughout the views, and in which:

FIG. 1 is a detailed block diagram of the train collision avoidance system constructed in accordance with the preferred embodiment;

FIGS. 2a and 2b are diagrams showing the area of protection of a vehicle based on the least significant digits of the longitude and latitude coordinates that are used;

FIGS. 3a, 3b and 3c constitute a software flow chart illustrating the programmed operations of the GPS processor;

FIG. 4 illustrates a register string of data stored in the data base memory for each railroad grade crossing; and

FIG. 5 is a table used by the system for correlating a vehicle speed with a dither number.

## DETAILED DESCRIPTION OF THE INVENTION

FIG. 1 illustrates in block diagram form a train collision avoidance system 10 constructed in accordance with the preferred embodiment of the invention. The system includes a first processor 12 and a second processor 14, each coupled together by an I/O bus 16. The first processor 12 has as a first input latitude and longitude coordinates provided by a GPS unit 18. The latitude/longitude parameters are coupled from the GPS unit 18 to the GPS processor 12 by way of an RS-32 bus 20. The GPS unit 18 can be of many conventional varieties that provide common output protocols, such as NMEA 0183. For example, GPS units are available that rely on three satellites to provide position data accurate to within about 100 meters. Other, more expensive, GPS units are available which rely on up to twelve satellites to provide a higher degree of accuracy, many within several feet. In addition, many automobiles are presently provided with GPS units coupled to mobile telephones for communicating information to centralized stations such as the location of an accident, the location when a call from the mobile telephone was initiated, etc. Such units are provided with an RS-232 output for providing longitude and latitude coordinate information.

The GPS processor 12 also receives compass bearing parameters provided by an electronic compass 22. The electronic compass 22 is coupled to the GPS processor 12 by an I/O bus 24. The electronic compass 22 can be of a variety of designs that are commercially available, such as the Pewatron 6945 digital compass. Preferably, the output of the electronic compass 22 is a data string representative of the primary eight directions, namely, N, NE, E, SE, etc.

The GPS processor 12 is coupled to a speed indicator 26 of the vehicle to provide data related to the speed of the vehicle. The vehicle speed unit 26 is coupled to the GPS processor 12 by an I/O bus 28.

Lastly, a data base memory 30 is coupled to the GPS processor 12 by a multi-bit data bus 32. The data base memory 30 is preferably of a non-volatile type, such as a EEPROM. The data base memory 30 is for storing data of all of the grade railroad crossings. The particular data stored in the memory 30 comprises a register string that includes a crossing position string and a heading string, both of which will be described in

detail below. While not shown, the GPS processor 12 also includes 1M byte of cache memory.

As noted above, the GPS processor 12 is coupled to the train proximity and demodulator processor 14 by an I/O bus 16. The train proximity processor 14 has coupled thereto a memory 34, an RF unit 36 and a display 38. The RF unit 36 includes an antenna 40 for receiving transmission by locomotives, trains and the like. It is noted that only specified frequencies are allocated by governmental agencies for the transmission by trains between the head end thereof and the last car. The transmission between the engine and the last car of a train is necessary to provide information, such as brake pipe pressure, etc. The RF unit 36 is designed and tuned to receive the specific frequency allocated to trains. When the carrier frequency is received, the RF unit 36 couples a corresponding signal to the demodulator and processor 14 by way of line 42. A display 38 is driven by the demodulator and processor 14 to provide an operator of the vehicle both a visual and audio indication of the proximity of the train, and that the vehicle is on a collision course with the train. The train proximity demodulator and processor 14, the RF unit 36 and the display 38 are described in more detail in U.S. Pat. No. 5,739,768, the entire disclosure of which is incorporated herein by reference.

Briefly described, the train collision avoidance system 10 operates in the following manner. Periodically, GPS coordinates are provided by the GPS unit 18 to the GPS processor 12. The longitude and latitude coordinates provided by the GPS unit 18 uniquely identify the geographical location of the vehicle to which the train collision avoidance system 10 is associated. The GPS processor 12 also receives vehicle heading information and speed information respectively from the electronic compass 22 and the vehicle speed unit 26. The GPS processor 12 then processes the longitude and latitude coordinates of the vehicle to expand the same so as to provide a radius or area of protection around the vehicle. The GPS processor 12 then reads the data base memory 30 with regard to the grade crossing coordinates to determine if any of the coordinates fall within the area of protection. If so, the processor 12 then further determines whether the heading of the vehicle coincides with the direction of the road that intersects the railroad crossing. As noted above, the heading of the road that intersects the tracks at the grade crossing is stored in the data base in association with the grade crossing coordinate data. If either of

these comparisons are negative, the GPS processor 12 returns to process a subsequent GPS coordinate of the vehicle. If, on the other hand, both comparisons are in the affirmative, it is next determined whether a train has been detected in the proximity of the grade crossing. If no train is detected, the train collision avoidance system 10 nevertheless provides the operator of the vehicle an alert signal and a corresponding audio sound. If a train is indeed detected in the proximity of the grade crossing, then a warning and associated audio sound are provided to the operator of the vehicle. The alert and warning indications and sounds are different, thereby allowing the operator of the vehicle to easily ascertain the degree of significance of caution that should be exercised at the grade crossing.

Reference is now made to FIGS. 2-5 for a detailed description of the invention. In particular, FIGS. 3a and 3b illustrate the programmed operation of the GPS processor 12. The GPS processor 12 is the same type of PIC controller as utilized in the train proximity detector. The compiler utility utilized in the programming of the GPS processor 12 is the Microchip MPLAB C-17 version 2.0. The language utilized to program the GPS processor 12 is the C language. In accordance with the preferred embodiment, it is determined whether the GPS processor 12 has received a new frame of longitude and latitude coordinates of the vehicle. This is shown in decision block 50 of FIG. 3a. As noted above, GPS units are available in many different varieties, many of which can provide location coordinates every one-two seconds. GPS coordinates are transferred as a serial string from the GPS unit 18 in an asynchronous manner on the RS-232 bus 20, to the GPS processor 12. In the event a new GPS frame of longitude and latitude coordinates has been received, processing branches from decision block 50 to program flow block 52 to start a timer. The GPS processor 12 maintains a timer of a predefined time period, such as five seconds. The utilization of a software timer assures that the GPS unit 18 is operating properly. If, for example, no frame of vehicle location data has been received, processing branches from decision block 50 to decision block 54 where it is determined whether the timer has expired. If the timer has not expired, processing branches back to the input of decision block 50. If, on the other hand, the timer has expired, processing branches from decision block 54 to program flow block 56 where the output of the GPS processor 12 is driven to a logic high. As noted in FIG. 1, the output of the GPS 12 processor is coupled to the train proximity demodulator and processor 14 by the I/O bus 16.

In the preferred form of the invention, the software of the train demodulator and processor 14 is modified somewhat from that described in U.S. Pat. No. 5,739,768, to identify the logic level on I/O input 16. If the logic level on I/O bus 16 is at a high level, the train proximity demodulator and processor 14 next determines whether a train is the  
5 proximity of the vehicle. This is shown in decision block 58 of FIG. 3a. As fully described in the noted patent, the RF unit 36 is a narrow band receiver for receiving the carrier frequency specified for locomotive and train operations. As is well known, the head end transmitter of a train periodically transmits information to the transceiver located on the last car of the train to determine various parameters of the train operation. The last car of  
10 the train is also equipped with a transmitter for responding to the head end transceiver concerning the various parameters involved. Nevertheless, the train proximity modulator and processor 14 receives the transmission from the train, when such train is within 1500 feet or so of the collision avoidance system 10. Once the processor 14 determines that a bona fide train transmission has occurred, and has been received, further processing is  
15 carried out. Indeed, if no train is in the proximity of the collision avoidance system 10, then processing branches from decision block 58 back to the input of decision block 50. If the train proximity demodulator and processor 14 determines that a train is in the vicinity of the collision avoidance system 10, then processing branches to program flow block 60. Here, a warning and audio signal are provided by the processor 14.

20 In the preferred form of the invention, the display 38 includes a visual indication for an alert and a different visual indication for a warning. The visual indication for an alert is a symbol much like the circular railroad crossing sign, with a double "R". Two yellow indicators in the symbol flash in unison to indicate the visual alert. The audio sound comprises an 800 and 1300 Hertz tones that alternate for two seconds. The audio sound  
25 level is 15 db above an ambient level of the vehicle. As the ambient sound level of the vehicle increases, the volume of the audio sound correspondingly increases, up to 105 db. With regard to the warning indication, the symbol on the display 38 comprises a cross bar, with the words "railroad" and "crossing" on the cross bar. In addition, two red LEDs located below the cross alternately blink. Again, the audio sound is similar to that of the  
30 alert but lasts for eight seconds. In both the alert and warning indications, the audio sound is only active for a short time, and thereafter is automatically removed. Once an alert or

warning is given to the vehicle operator, it proceeds through the cycle, even if the parameters input to the system change so that a collision is not thereafter possible. The alert and warning indications remain active for at least five seconds, and then extinguish if there is no longer the danger of a potential collision.

5           With reference again to FIG. 3a, from program flow block 60, processing returns to decision block 50. Assuming that, as a result of decision block 50, a new GPS frame of coordinates has been received, and the timer has been started according to block 52, processing proceeds to block 62, where the vehicle position is qualified. Here, the position of the vehicle is time qualified, in that the position coordinates of the vehicle are within the  
10           time constraints of the timer, and are considered to be valid. Alternatively, those skilled in the art can conduct preliminary processing on the longitude and the latitude coordinates of the vehicle by verifying that such coordinates are within allowable ranges. For example, any valid latitude coordinate on the earth must be between 0° and 90° North and South. In like manner, any valid longitude coordinate on the earth must be between 0° and 180° East  
15           and West. As is well known, 0° longitude exists at the Prime Meridian (Greenwich), and 180° exists at the international date line. As yet another alternative, those skilled in the art may prefer to temporarily store the previous coordinates, or an average of the previous coordinates, and verify that the present coordinates do not significantly vary therefrom. In any event, after the vehicle position is qualified, the speed and direction of travel of the  
20           vehicle are obtained by the GPS processor 12, as shown in program flow block 64. The speed and direction of travel parameters are obtained respectively from the vehicle speed unit 26 and the electronic compass 22.

          Depending upon the speedometer utilized, the speed parameter can be digital, analog or another type of signal which can be readily converted to digital form, if  
25           necessary, and processed by the GPS processor 12. In the preferred embodiment, the speed parameter of the vehicle is an analog voltage that corresponds to the actual speed of the vehicle. The direction of travel parameter from the electronic compass 22 can be an analog voltage, digital signals or other signals that are representative of the eight-point cardinal system, which is N, NE, E, SE, S, etc. Next, the GPS processor 12 accesses a  
30           table shown in FIG. 5 for correlating the vehicle speed to a dither number. As noted above, an analog voltage is coupled from the vehicle speed unit 26 via line 28, to the GPS

processor 12. The GPS processor 12 includes an internal A/D converter for converting the analog voltage to corresponding digital signals. As noted in FIG. 5, the speed of the vehicle linearly corresponds to an analog voltage. The table assigns a specified dither number to the four ranges of speed. As can be seen, for lower speeds, the dither number is lower, as compared to the higher speeds. While only four different levels of speed are employed, those skilled in the art may prefer to utilize fewer or a greater number of speed levels and corresponding dither numbers.

In program flow block 68, the latitude and longitude coordinates are dithered according to the dither number of Table 5. In the dithering of the location coordinates of the vehicle, the fourth least significant bit of both the longitude and the latitude coordinates is dropped. For instance, and as noted in FIGS. 2a and 2b, it is assumed for purposes of example that the location of the vehicle is specified by a latitude of N35.9879 and longitude of W124.6432. In the United States, the latitude is "North", and the numbers are "35" the degrees, the "98" are the minutes, the "79" are the seconds and the "85" is a further division of the seconds. A similar designation is used with the longitude coordinates except the degrees are either "West" or "East". In the dithering operation, the fourth least significant bits of the latitude and longitude coordinates are dropped, thereby leaving the resulting coordinates N35.987 and W123.643. If, for example, the speed of the vehicle is 35 miles per hour, then according to the table of FIG. 5, the dither number would .001. In dithering the vehicle location, the value .001 is both added and subtracted from the truncated longitude and latitude coordinates. As shown in FIG. 2a, as a result of the dithering operation, the range of the longitude coordinates becomes W124.642 through W124.644. In like manner, the range of the latitude coordinates becomes N35.986 through N35.988. These ranges of both latitude and longitude define an area of protection around the vehicle shown by the broken lines. While the area of protection is actually rectangular or square, such area is sometimes termed herein as a "radius" of protection around the vehicle. By changing the third least significant digit of the latitude by the value .001, the space between the broken lines of the latitude coordinates is about 100 feet. While the space between the broken lines of the longitude coordinates is somewhat different, the error is sufficiently small that it is considered the same as that of the latitude.

FIG. 2b illustrates an example when the speed of the vehicle is between 40 mph and 59 mph, where the dither number is .002. Here the range between the longitude and latitude coordinates is further increased, which corresponds to an increase in speed. By changing the longitude and latitude coordinates by  $\pm .002$ , the radius of protection around the vehicle is greater than that shown in FIG. 2a, for a slower speed vehicle. As can be appreciated, by utilizing the table of FIG. 5, a vehicle with a higher speed results in a greater radius of protection. Those skilled in the art may prefer to utilize other techniques for correlating the speed of the vehicle to the area of protection associated with the vehicle.

Program flow block 70 shows the reading of the data base memory by the GPS processor 12. Preferably, the GPS processor 12 is programmed to select a narrow range of memory addresses so as to read a relatively few grade crossing location data that closely corresponds to the location of the vehicle. This is because the cache memory of the GPS processor 12 is smaller than the data base memory 30. It is realized that the number of railroad grade crossings in the United States is about 300,000. In order to read the data base memory 300,000 times and process such information in a short period of time, an expensive and high speed processor would be required. According to the processor utilized in the present invention, only a small section of the data base memory is read, which section is selected to have stored therein the coordinates of railroad crossings which closely correspond to the location of the vehicle. Assuming for example the vehicle location coordinates are dithered by  $\pm .001$  (FIG. 2a), the processor 12 reads the data base memory 30 starting where the latitude coordinates are N35.986 and continuing where the latitude coordinates are N35.988. It is noted that the grade crossing coordinates are stored in the data base memory in ascending latitude numbers. Various other techniques can be utilized to select memory addresses for accessing those grade crossing locations that are in the vicinity of the vehicle. For example, yet another table (not shown) can be utilized to correlate ranges of latitude and longitude coordinates with memory addresses. The area of the United States, for example, could be segmented in a grid network of 250 miles square. The coordinates of the railroad crossings in each grid could be stored in the data base memory 30 between specified addresses. In correlating the longitude and latitude coordinates of the vehicle with geographical grids, the corresponding addresses of data



base memory 30 could be readily accessed to read the grade crossing locations that are in the same grid in which the vehicle is located.

FIG. 4 illustrates the format of the data stored at each memory location of the data base memory 30. FIG. 4 shows a register string 80 which includes sixteen bytes 82 for storing a latitude coordinate of a grade crossing, a sixteen-byte area 84 for storing a longitude coordinate, and a six-byte area 86 for storing heading information corresponding to the direction of the road that crosses the grade crossing. In the preferred form of the invention, the latitude and longitude coordinates are stored with a full four bits to the right of the decimal point. While two bytes in the heading field 86 are sufficient for a compass direction, two bytes are utilized to specify one road direction, and two other bytes store the opposite direction of the road. For example, if there exists a North-South road that intersects with the railroad crossing, then the designations for both North and South would be written into the respective bytes of the heading string field 86. The fifth and sixth bytes of the heading string 86 can be used to store a heading of a one-way service road that runs parallel to the railroad tracks, where the service road then crosses the road that intersects with the railroad. In this instance, a match of the heading of the vehicle and train will occur, even through the service road runs parallel to the railroad tracks. However, a potential still exists for a collision if the vehicle turns off the service road onto the road that crosses the tracks. The data stored in the heading fields 86 corresponds to the eight-point cardinal system.

Alternatively, and to increase the accuracy, compass degrees of the direction of the road could be stored in the register string 80, in association with the latitude and longitude coordinates. Lastly, in the event that numerous and complicated road directions are associated with the grade crossing, the designation "OF" can be stored in the heading area 86. This designation indicates to the GPS processor 12 that the heading of the road (s) should be disregarded. Essentially, when a register string 80 read from the data base memory 30 has a heading string of "OF", an alert or warning can be provided irrespective of the direction of travel of the vehicle. Stated another way, a heading designation of "OF" makes the heading of the vehicle irrelevant.

In the preferred embodiment, the register string 80 is stored in the data base memory 30 for each grade crossing in the following format:

N35,98.7985,, W124,64.3255,, OE, OB

where the first eleven characters represent the latitude, the next twelve characters starting with "W" represent the longitude, and the last two pairs of letters represent directional headings. The directional headings are:

NORTH =	"0E"
NORTHWEST =	"0C"
WEST =	"0D"
SOUTHWEST =	"09"
SOUTH =	"0B"
SOUTHEAST =	"03"
EAST =	"07"
NORTHEAST =	"06"
ANY DIR =	"0F"

After proceeding through program flow block 70 of FIG. 3a, the GPS processor 12 has available the dithered area of protection of the vehicle and the section of the data base memory 30 storing the coordinates of railroad crossings in the vicinity of the vehicle. In processing the instructions corresponding to decision block 72, the GPS processor 12 compares the data of the first position string read from the data base 30 to determine if it falls within the area of protection, such as shown by the broken line of FIG. 2a. This assumes that the speed of the vehicle was 35 mph, and the dither number is  $\pm .001$ . For this vehicle speed, the function of decision block 72 determines if a railroad grade crossing is sufficiently close to the vehicle such as to provide an indication of the same to the operator. By utilizing rudimentary mathematical operations, the GPS processor 12 determines whether or not the latitude coordinate of the register string 80 falls within N35.986 and N35.988. It is also determined whether the longitude coordinate of the register string 80 falls within W124.642 and W124.644, again as noted in FIG. 2a. If both the latitude and the longitude coordinates of the register string 80 do not both fall within the dithered coordinates, processing branches from decision block 72 to decision block 74 of FIG. 3c. In decision block 74, it is determined whether all relevant sections of the data

base 30 have already been read. If all relevant sections of the memory have been read, it can be concluded that there is no grade crossing in the area of protection of the vehicle, whereupon processing returns to the start, as shown in FIG. 3a. On the other hand, if all sections of the data base memory have not been read, the GPS processor 12 reads another  
5 contiguous section of memory, as shown in program flow block 76. The contiguous register strings 80 that are read include a sufficient number of bytes of memory data such that the cache memory overflows. There may be instances where the railroad grade crossings are dense, per unit of geographical area. In the example of FIG. 2a, the cache memory may overflow before all register strings of data corresponding to latitudes between  
10 N35.986 and N35.988 can be written into the cache memory. In this instance, the remaining sections of the data base memory that include latitude coordinates between the two limits are thereafter read and temporarily stored in the cache memory. The vehicle location coordinates are then sequentially compared to the register strings in the cache memory. This operation continues until all of the register strings having latitude  
15 coordinates between N35.986 and N35.988 have been read from the data base 30 and compared with the vehicle position coordinates. The process then proceeds again with decision block 72 to determine if any of the grade crossings read from the data base 30 are within range of the vehicle.

To reiterate briefly, at higher vehicle speeds, the dither number is larger, and thus  
20 the area of protection around the vehicle, such as shown in FIG. 2b is greater. With a greater area, it is more likely that there will be a match between the latitude and longitude coordinates of a register string 80 within the area of protection. As such, a greater distance thus exists between the vehicle and the grade crossing before a warning or indication is provided to the operator of the vehicle.

25 In the event that both the latitude and longitude coordinates of a register string 80 are found to lie within the area of protection, processing branches from decision block 72 to decision block 80 of FIG. 3b. The instructions carried out by the GPS processor 12 in connection with decision block 80 cause a comparison between the heading field 86 of the register string 80, and the heading of the vehicle. As noted above, the heading of the  
30 vehicle is provided by the electronic compass 22. It is significant to note that a match occurs when the heading of the vehicle is similar to the direction or heading of the road that

intersects the grade crossing. In addition, a match also exists if the heading of the vehicle is opposite, or 180 degrees, from the heading data stored in the heading field 86 of the register string 80. In other words, irrespective of whether the vehicle is approaching the railroad crossing or heading away from the crossing, a match will nevertheless be found. A match provides an indication that the vehicle is not only in the vicinity of a grade crossing, but is also on the very road that intersects the crossing. Because of the variances in the accuracy of compass readings, the GPS processor 12 can be programmed to find a match in the vehicle and road headings, despite a difference of  $\pm 10$  degrees, or so. Those skilled in the art may prefer to refine this comparison by determining whether the distance between the grade crossing and the vehicle is decreasing or increasing. If the distance is decreasing, this necessarily means that the vehicle is approaching the grade crossing. On the other hand, if the distance therebetween is increasing, this means that the vehicle has passed the grade crossing and is headed in the opposite direction, and is no longer in danger of a collision. Other techniques may be utilized to determine if the vehicle is approaching or headed away from the grade crossing.

From decision block 80, the GPS processor 12 drives the output line 16 to a logic high, as noted in block 82. This signals the train proximity detector that a potential exists for a collision between an oncoming train, if any, and the vehicle. In decision block 84, the train proximity demodulator and processor 14 determines whether a train has been detected in the vicinity of the vehicle. If a train has not been detected as being in the vicinity of the vehicle, processing branches to block 86 where an alert indication is provided, together with an audio sound. Thus, an alert indication is provided when a grade crossing has been found to be within the area of protection of the vehicle, and the vehicle is on the same road that intersects the crossing, but when no train is in the vicinity. It should be noted that the detection of a train need not be in the same area of protection as shown by the broken lines of FIGS. 2a and 2b. This is because the detection of a transmitted train signal is merely by way of signal strength and not by way of the use of the GPS system. However, those skilled in the art may devise techniques to ascertain the distance between a train and the vehicle, based on the GPS system.

If it is determined in decision block 84 that a train is indeed in the vicinity of the vehicle, then a warning and associated audio sound are provided to the vehicle operator, as

shown in program flow block 88. As noted above, the alert and warning indications are visually different. From either program flow blocks 86 or 88, processing returns to the start of the flow chart shown in FIG. 3a. Also, with more sophisticated GPS units, it is possible that the output thereof may include the location coordinates, the vehicle speed and even the heading of the vehicle. Nonetheless, disclosed is a system for avoiding collisions with trains, where the location of the vehicle is determined, an area of protection around the vehicle is ascertained, and whether any railroad crossing is within the area of protection. If these conditions are met, it is also determined whether the vehicle is on the same road that intersects the grade crossing. If so, a first indication is provided to the operator of the vehicle. If a train is in the vicinity of the crossing, then a second, more urgent, indication is provided to the operator of the vehicle.

While the preferred embodiment of the invention has been disclosed with reference to a specific collision avoidance system, and method of operation thereof, it is to be understood that many changes in detail may be made as a matter of engineering or software choices, without departing from the spirit and scope of the invention, as defined by the appended claims.

**WHAT IS CLAIMED IS:**

1. A train collision avoidance system, comprising:  
a data base storing train grade crossing data;  
a processor programmed to receive GPS vehicle location data that periodically identifies a location of a vehicle, and programmed to use said GPS vehicle location data  
5 and said train grade crossing data to determine if the vehicle is within a predefined distance from a grade crossing; and  
said processor is programmed to provide a sensory indication when the vehicle is within the predefined distance from said grade crossing.
2. The train collision avoidance system of Claim 1, wherein said data base stores in association with train grade crossing data, direction data that identifies a direction of a road that intersects a railroad track at the grade crossing.
3. The train collision avoidance system of Claim 2, wherein said processor is programmed to receive vehicle direction of travel data and compare said vehicle direction of travel data with the direction data stored in said data base, and if said vehicle is within the predefined distance from said grade crossing and if said vehicle direction of travel  
5 coincides with the direction data, said sensory indication is provided.
4. The train collision avoidance system of Claim 2, wherein for each train grade crossing data stored in said data base, there is stored in association therewith direction data of at least one road that intersects a railroad track at a grade crossing identified by the train grade crossing data.
5. The train collision avoidance system of Claim 4, wherein said train grade crossing data and said direction data are written into said data base so as to be read out together during one read operation of the data base.

6. The train collision avoidance system of Claim 1, wherein said processor is programmed to process said GPS vehicle location data so as to provide a radius of protection around the vehicle, said radius defined by said predefined distance.

7. The train collision avoidance system of Claim 6, wherein said GPS vehicle location data comprises latitude and longitude coordinates, and is processed by changing a respective least significant bit thereof to reduce an accuracy of the location of the vehicle.

8. The train collision avoidance system of Claim 7, wherein said processor is programmed to change a least significant bit of said longitude and a least significant bit of said latitude coordinates by adding and subtracting a predefined number.

9. The train collision avoidance system of Claim 2, wherein said direction data comprises a range of compass degrees.

10. The train collision avoidance system of Claim 1, wherein said processor is programmed to receive vehicle speed data and to change said predefined distance as a function of the vehicle speed data.

11. A train collision avoidance system, comprising:

a first detector for detecting a geographical location of a vehicle;

a second detector for detecting a proximity of a train near the vehicle;

a direction sensing device for providing data indicating a heading of the vehicle;

5 a data base storing geographical coordinates of grade crossings where a road intersects a railroad track;

a processor that is programmed to compare the geographical location of the vehicle with the coordinates of the grade crossing to determine whether the vehicle is within a prescribed distance from the grade crossing; and

10 said processor is programmed to provide a sensory indication when said comparison is affirmative, when said detector detects a proximity of the train near the vehicle, and when the heading of the vehicle will cause the vehicle to intersect the grade crossing.

12. The train collision avoidance system of Claim 11, wherein said detector uses GPS signals to provide latitude and longitude parameters of geographical locations of the vehicle.

13. The train collision avoidance system of Claim 11, wherein said second detector uses a transmitted signal from a train to detect a proximity thereof to the vehicle.

14. The train collision avoidance system of Claim 11, wherein said processor is programmed to vary said prescribed distance as a function of a speed of the vehicle.

15. The train collision avoidance system of Claim 11, wherein said sensory indication comprises a warning, and said processor is programmed to provide an alert sensory indication when said vehicle is detected as being within said prescribed distance from said grade crossing and a proximity of a train has not been detected.

16. The train collision avoidance system of Claim 11, wherein said sensory indication comprises a visual indication, and further including an audible indication that is provided only for a predefined period of time, and then is extinguished.



17. The train collision avoidance system of Claim 11, wherein said processor is programmed to process a geographical coordinate stored in said data base by modifying the geographical coordinate by changing a least significant digit thereof.

18. The train collision avoidance system of Claim 17, wherein said geographical coordinate system comprises a multi-digit latitude parameter and a multi-digit longitude parameter, and said processor is programmed to dither the latitude and longitude parameters to provide said prescribed distance from said grade crossing.

19. The train collision avoidance system of Claim 18, wherein said latitude and longitude parameters are dithered to different extents, as a function of a speed of the vehicle.

20. The train collision avoidance system of Claim 19, wherein each said parameter is dithered by the same amount for a given speed of the vehicle.

21. The train collision avoidance system of Claim 11, wherein said data base is configured to store data corresponding to geographical locations of a plurality of grade crossings that intersect respective roads, and stores in association with each said geographical location other data representing a compass direction of at least one road that intersects the railroad tracks at said grade crossing.

22. A method of avoiding a collision with a train, comprising the steps of:
- providing an indication of a location of a vehicle;
  - providing an indication of a direction of travel of the vehicle;
  - reading from a data base, data identifying a location of one or more train grade
  - 5 crossings, and data identifying a heading of at least one road intersecting the train grade crossing;
  - comparing the indication of the vehicle location with the location of the train grade crossing;
  - comparing the indication of the direction of travel of the vehicle with the heading of
  - 10 at least one road intersecting the train grade crossing; and
  - if the vehicle location is within a specified distance from the train grade crossing,
  - and if the direction of travel of the vehicle corresponds to the heading of the road,
  - providing a sensory indication of a potential for a collision between the train and the vehicle.

1/3

FIG. 1

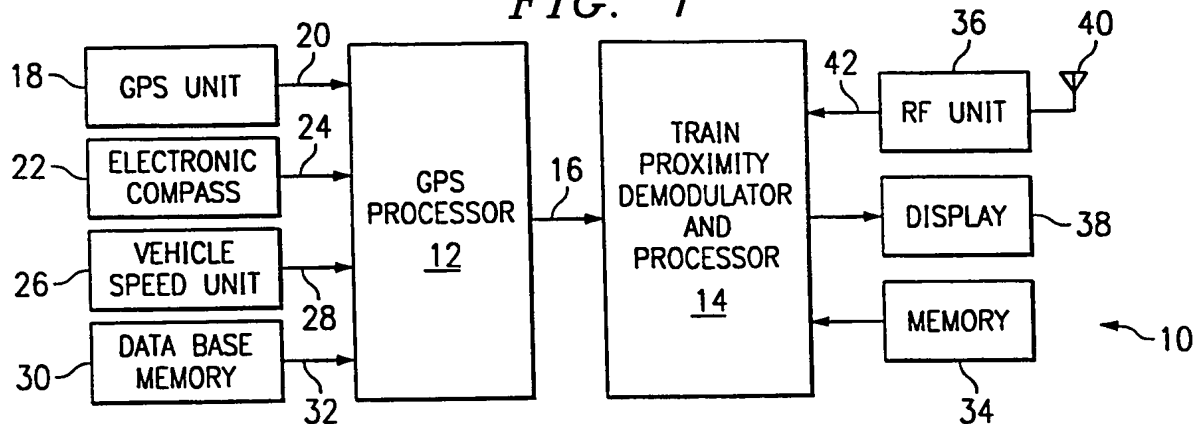


FIG. 2a

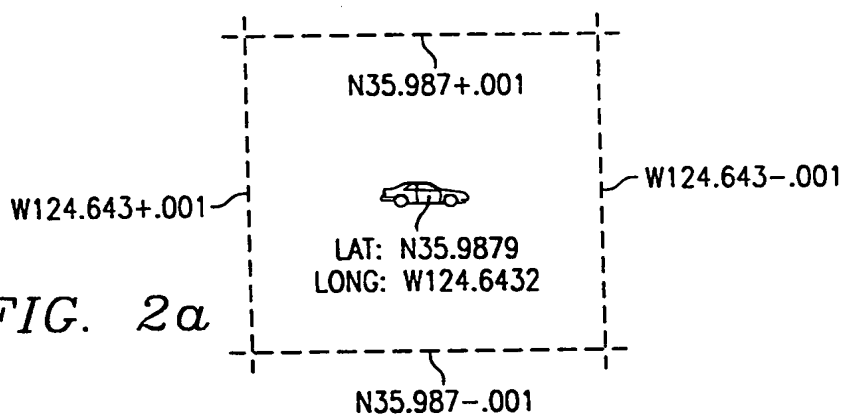
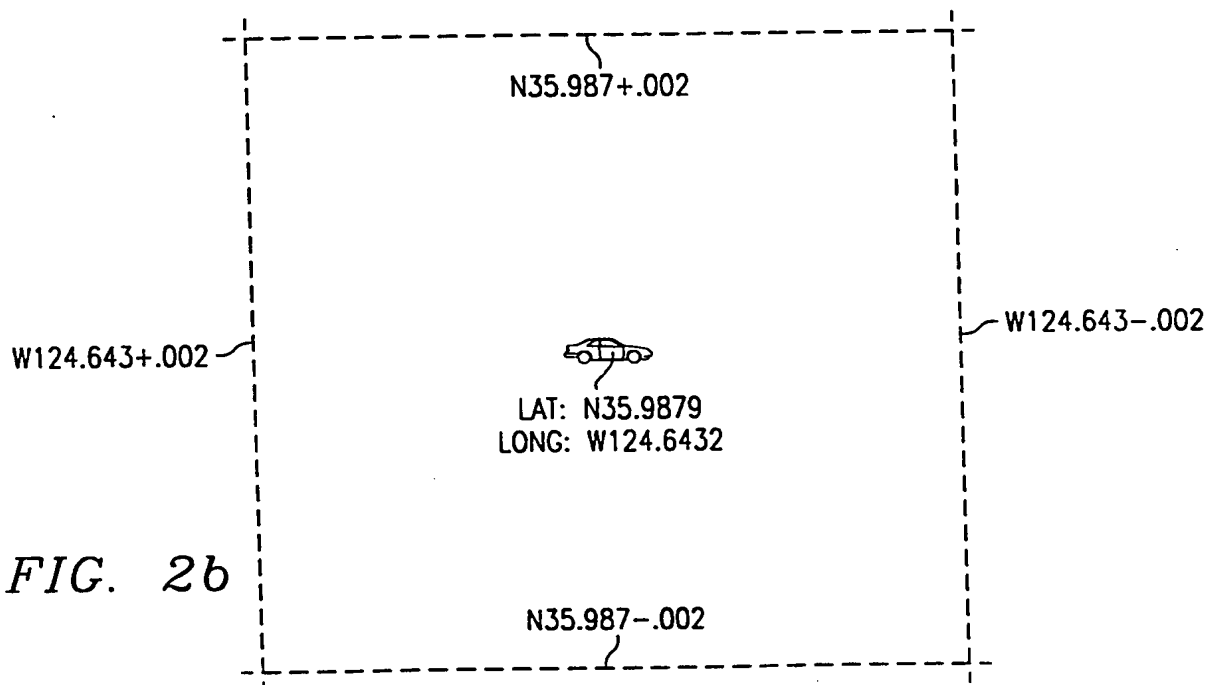


FIG. 2b



2/3

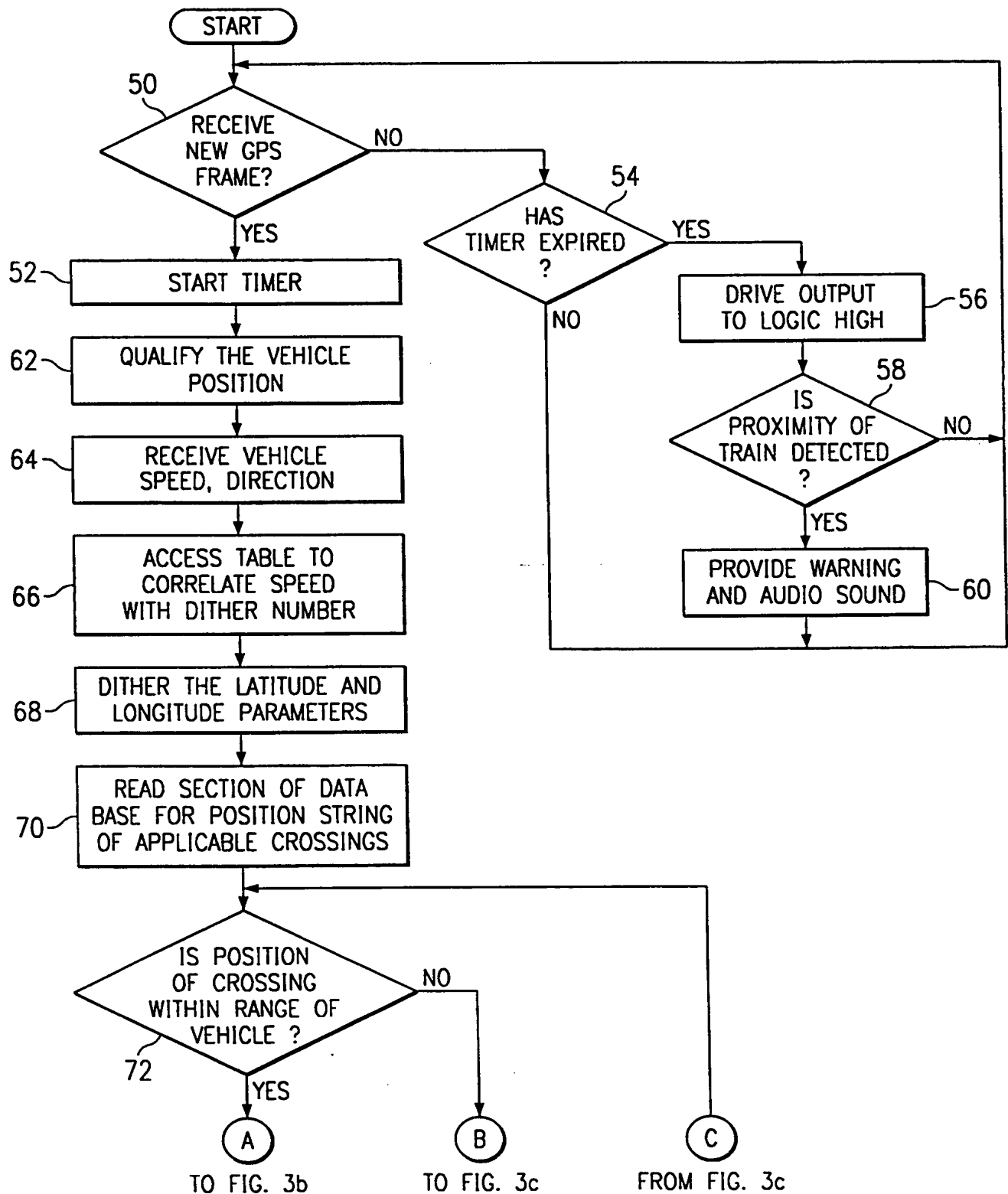
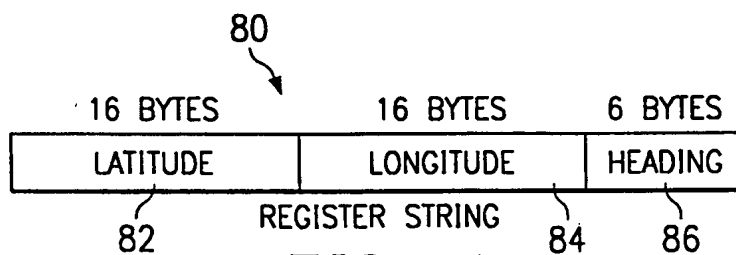
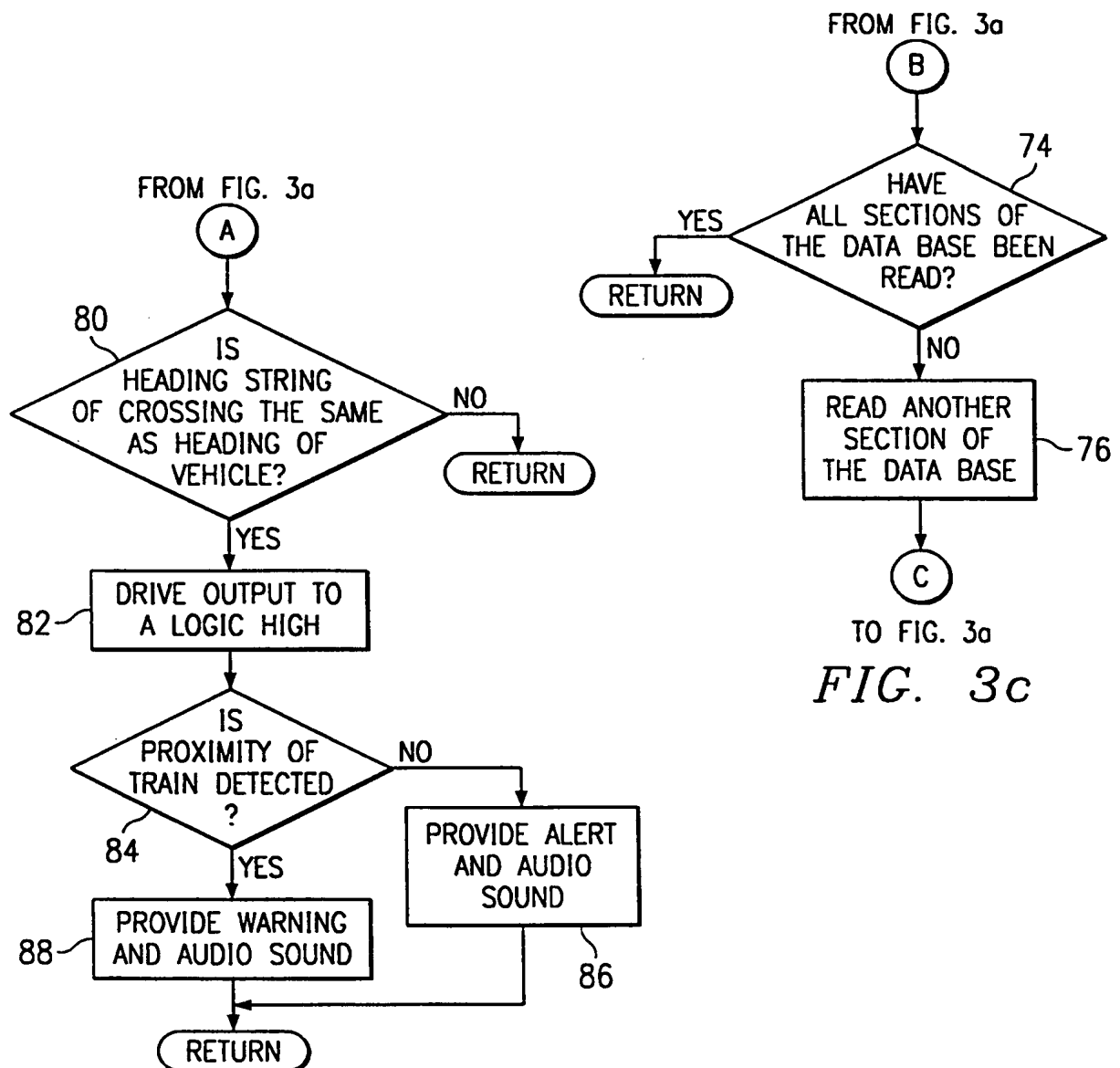


FIG. 3a

3/3



MPH	VOLTAGE	DITHER NUMBER
0-39	0-.1	.001
40-59	.11-.2	.002
60-79	.21-.3	.003
>80	>.31	.004

FIG. 5

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/17099

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC(6) :Please See Extra Sheet. US CL :Please See Extra Sheet. According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b> Minimum documentation searched (classification system followed by classification symbols) U.S. : 701/19, 213; 342/357, 455; 364/449, 461; 340/901, 933, 903, 943; 246/473.1, 122 R, 126, 125, 174, 176, 167 R Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) APS		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,574,469 A (HSU) 12 November 1996 (12.11.96), Fig. 3 & 5, col. 1-10.	1-3, 5, 6, 9, 10-13, 15-16 & 22
X, P	US 5,699,986 A (Welk) 23 December 1997 (23.12.97), col.2, lines 10-68; col. 3, lines 1-34; col. 3-7.	1-3, 5, 6, 9, 10-13, 15, 16 & 22
Y	US 5,554,982 A (Shirkey et al.) 10 September 1996 (10.09.96), see whole document.	1-6 & 9-22
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.		
* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention	
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone	
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art	
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family	
"O" document referring to an oral disclosure, use, exhibition or other means		
"P" document published prior to the international filing date but later than the priority date claimed		
Date of the actual completion of the international search 29 OCTOBER 1998	Date of mailing of the international search report 02 DEC 1998	
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer WILLIAM CUCHLINSKI <i>Diane Smith for</i> Telephone No. (703) 308-3873	

INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/17099

A. CLASSIFICATION OF SUBJECT MATTER:

IPC (6):

G01S 3/02; G08G 1/01; B61L 29/00; B61L 25/00; G08G 1/16; G08G 1/095

A. CLASSIFICATION OF SUBJECT MATTER:

US CL :

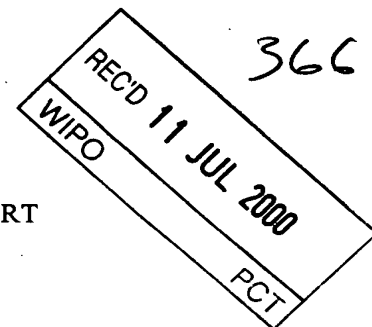
701/19, 213; 342/357, 455; 364/449, 461; 340/901, 933, 903, 943; 246/473.1, 122 R, 126, 125, 174, 176, 167 R

## PATENT COOPERATION TREATY

## PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)



Applicant's or agent's file reference 10683/00403	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. PCT/US98/17099	International filing date (day/month/year) 18 AUGUST 1998	Priority date (day/month/year) 18 AUGUST 1997
International Patent Classification (IPC) or national classification and IPC Please See Supplemental Sheet.		
Applicant DYNAMIC VEHICLE SAFETY SYSTEMS, INC.		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.

2. This REPORT consists of a total of 4 sheets.

- ☒ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority. (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of 3 sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of report with regard to novelty, inventive step or industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

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Date of submission of the demand 16 MARCH 1998	Date of completion of this report 12 MAY 2000
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer <i>H. Leon M...</i> WILLIAM A. CUCHLINSKI JR.
Facsimile No. (703) 305-3230	Telephone No. (703) 308-3873



## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US98/17099

**I. Basis of the report**1. With regard to the **elements** of the international application:\*☐ the international application as originally filed☒ the description:

pages (See Attached)

, as originally filed

pages ; filed with the demand

pages , filed with the letter of

☒ the claims:

pages (See Attached)

, as originally filed

pages , as amended (together with any statement) under Article 19

pages , filed with the demand

pages , filed with the letter of

☒ the drawings:

pages (See Attached)

, as originally filed

pages , filed with the demand

pages , filed with the letter of

☒ the sequence listing part of the description:

pages (See Attached)

, as originally filed

pages , filed with the demand

pages , filed with the letter of

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language \_\_\_\_\_ which is:

☐ the language of a translation furnished for the purposes of international search (under Rule 23.1(b)).☐ the language of publication of the international application (under Rule 48.3(b)).☐ the language of the translation furnished for the purposes of international preliminary examination (under Rules 55.2 and/or 55.3).3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:☐ contained in the international application in printed form.☐ filed together with the international application in computer readable form.☐ furnished subsequently to this Authority in written form.☐ furnished subsequently to this Authority in computer readable form.☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.4. ☒ The amendments have resulted in the cancellation of:☒ the description, pages none☒ the claims, Nos. none☒ the drawings, sheets/fig none5. ☒ This report has been drawn as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).\*\*

\* Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).

\*\*Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US98/17099

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. statement**

Novelty (N)	Claims <u>1-22</u>	YES
	Claims <u>None</u>	NO
Inventive Step (IS)	Claims <u>1-22</u>	YES
	Claims <u>None</u>	NO
Industrial Applicability (IA)	Claims <u>1-22</u>	YES
	Claims <u>None</u>	NO

**2. citations and explanations (Rule 70.7)**

Claims 1-22 meet PCT rule 33(2-4) because no prior art is taught nor shown that specify that the processor is programmed to correlate the heading data of a road with a heading of the road vehicle. Also, claims 1-22 specify that the database stores heading data of the road in association with the train grade crossing data. Finally, the processor provides a sensory indication when the road vehicle is on a road that intersects with the grade crossing.

----- NEW CITATIONS -----  
NONE

**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

**CLASSIFICATION:**

The International Patent Classification (IPC) and/or the National classification are as listed below:

IPC(7): G01S 3/02; G08G 1/01; B61L 29/00; B61L 25/00; G08G 1/16; G08G 1/095 and US Cl.: 701/19, 213, 301; 342/357, 455; 340/901, 933, 903, 943; 246/473.1, 122 R, 126, 125, 174, 176, 167 R

**I. BASIS OF REPORT:**

This report has been drawn on the basis of the description,  
page(s) 1-19, as originally filed.  
page(s) NONE, filed with the demand.  
and additional amendments:  
NONE

This report has been drawn on the basis of the claims,  
page(s) NONE, as originally filed.  
page(s) NONE, as amended under Article 19.  
page(s) NONE, filed with the demand.  
and additional amendments:  
Pages 20-24, filed with the letter of 01 February 2000.

This report has been drawn on the basis of the drawings,  
page(s) 1-3, as originally filed.  
page(s) NONE, filed with the demand.  
and additional amendments:  
NONE

This report has been drawn on the basis of the sequence listing part of the description:  
page(s) NONE, as originally filed.  
pages(s) NONE, filed with the demand.  
and additional amendments:  
NONE

5. (Some) amendments are considered to go beyond the disclosure as filed:  
NONE

## WHAT IS CLAIMED IS:

1. A train collision avoidance system, comprising:  
a data base storing train grade crossing data, and for each train grade crossing data, storing in association therewith heading data of a road;  
a processor programmed to receive GPS vehicle location data that periodically  
5 identifies a location of a vehicle, and programmed to use said GPS vehicle location data and said train grade crossing data to determine if the vehicle is within a predefined distance from a grade crossing;  
said processor being programmed to correlate the heading data of a road with a  
heading of the road vehicle; and  
10 said processor is programmed to provide a sensory indication when the vehicle is within the predefined distance from the grade crossing and when the road vehicle is on a road that intersects with the grade crossing.
2. The train collision avoidance system of Claim 1, wherein said data base stores in association with train grade crossing data, direction data that identifies a direction of a road that intersects a railroad track at the grade crossing.
3. The train collision avoidance system of Claim 2, wherein said processor  
is programmed to receive vehicle direction of travel data and compare said vehicle  
direction of travel data with the direction data stored in said data base, and if said  
vehicle is within the predefined distance from said grade crossing and if said vehicle  
5 direction of travel coincides with the direction data, said sensory indication is provided.
4. The train collision avoidance system of Claim 2, wherein for each train grade crossing data stored in said data base, there is stored in association therewith direction data of at least one road that intersects a railroad track at a grade crossing identified by the train grade crossing data.
5. The train collision avoidance system of Claim 4, wherein said train grade crossing data and said direction data are written into said data base so as to be read out together during one read operation of the data base.

AMENDED SHEET

PCT/US 93/17099  
PEA/US 01 FEB 2000

6. The train collision avoidance system of Claim 1, wherein said processor is programmed to process said GPS vehicle location data so as to provide a radius of protection around the vehicle, said radius defined by said predefined distance.

7. The train collision avoidance system of Claim 6, wherein said GPS vehicle location data comprises latitude and longitude coordinates, and is processed by changing a respective least significant bit thereof to reduce an accuracy of the location of the vehicle.

8. The train collision avoidance system of Claim 7, wherein said processor is programmed to change a least significant bit of said longitude and a least significant bit of said latitude coordinates by adding and subtracting a predefined number.

9. The train collision avoidance system of Claim 2, wherein said direction data comprises a range of compass degrees.

10. The train collision avoidance system of Claim 1, wherein said processor is programmed to receive vehicle speed data and to change said predefined distance as a function of the vehicle speed data.

AMENDED SHEET

11. A train collision avoidance system, comprising:  
a first detector for detecting a geographical location of a vehicle;  
a second detector for detecting a proximity of a train near the vehicle;  
a direction sensing device for providing data indicating a heading of the vehicle;  
5 a data base storing geographical coordinates of grade crossings where a road intersects a railroad track.

a processor that is programmed to compare the geographical location of the vehicle with the coordinates of the grade crossing to determine whether the vehicle is within a prescribed distance from the grade crossing; and

10 said processor is programmed to provide a sensory indication when said comparison is affirmative, when said detector detects a proximity of the train near the vehicle, and when the heading of the vehicle will cause the vehicle to intersect the grade crossing.

12. The train collision avoidance system of Claim 11, wherein said detector uses GPS signals to provide latitude and longitude parameters of geographical locations of the vehicle.

13. The train collision avoidance system of Claim 11, wherein said second detector uses a transmitted signal from a train to detect a proximity thereof to the vehicle.

14. The train collision avoidance system of Claim 11, wherein said processor is programmed to vary said prescribed distance as a function of a speed of the vehicle.

15. The train collision avoidance system of Claim 11, wherein said sensory indication comprises a warning, and said processor is programmed to provide an alert sensory indication when said vehicle is detected as being within said prescribed distance from said grade crossing and a proximity of a train has not been detected.

16. The train collision avoidance system of Claim 11, wherein said sensory indication comprises a visual indication, and further including an audible indication that is provided only for a predefined period of time, and then is extinguished.

AMENDED SHEET

17. The train collision avoidance system of Claim 11, wherein said processor is programmed to process a geographical coordinate stored in said data base by modifying the geographical coordinate by changing a least significant digit thereof.

18. The train collision avoidance system of Claim 17, wherein said geographical coordinate system comprises a multi-digit latitude parameter and a multi-digit longitude parameter, and said processor is programmed to dither the latitude and longitude parameters to provide said prescribed distance from said grade crossing.

19. The train collision avoidance system of Claim 18, wherein said latitude and longitude parameters are dithered to different extents, as a function of a speed of the vehicle.

20. The train collision avoidance system of Claim 19, wherein each said parameter is dithered by the same amount for a given speed of the vehicle.

21. The train collision avoidance system of Claim 11, wherein said data base is configured to store data corresponding to geographical locations of a plurality of grade crossings that intersect respective roads, and stores in association with each said geographical location other data representing a compass direction of at least one road that intersects the railroad tracks at said grade crossing.

22. A method of avoiding a collision with a train, comprising the steps of:  
providing an indication of a location of a vehicle;  
providing an indication of a direction of travel of the vehicle;  
reading from a data base, data identifying a location of one or more train grade  
5 crossings, and data identifying a heading of at least one road intersecting the train  
grade crossing;  
comparing the indication of the vehicle location with the location of the train  
grade crossing;  
10 comparing the indication of the direction of travel of the vehicle with the  
heading of at least one road intersecting the train grade crossing; and  
if the vehicle location is within a specified distance from the train grade  
crossing, and if the direction of travel of the vehicle corresponds to the heading of the  
road, providing a sensory indication of a potential for a collision between the train and  
the vehicle.

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AMENDED SHEET



# REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

receiving Office use only	
International Application No.	
International Filing Date	
Name of receiving Office and "PCT International Application"	
Applicant's or agent's file reference (if desired) (12 characters maximum)	10683/00403

<b>Box No. I TITLE OF INVENTION</b>	
COLLISION AVOIDANCE USING GPS DEVICE AND TRAIN PROXIMITY DETECTOR	
<b>Box No. II APPLICANT</b>	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)</i>  DYNAMIC VEHICLE SAFETY SYSTEMS, LTD. 205 S. Parker Amarillo, Texas 79106 United States of America	<input type="checkbox"/> This person is also inventor.  Telephone No. 806-371-8264  Facsimile No. 806-371-0005  Teleprinter No.
State (i.e. country) of nationality: US	State (i.e. country) of residence: US
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<b>Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)</b>	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)</i>  ERICK, Jack M. 6220 Cedar Hollow Drive Amarillo, Texas 79124 United States of America	This person is:  <input type="checkbox"/> applicant only  <input checked="" type="checkbox"/> applicant and inventor  <input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i>
State (i.e. country) of nationality: US	State (i.e. country) of residence: US
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
<input type="checkbox"/> Further applicants and/or (further) inventors are indicated on a continuation sheet.	
<b>Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE</b>	
The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as: <input checked="" type="checkbox"/> agent <input type="checkbox"/> common representative	
Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)</i>  CHAUZA, Roger N. Sidley & Austin 717 N. Harwood Street Suite 3400 Dallas, Texas 75201 United States of America	Telephone No. 214-981-3300  Facsimile No. 214-981-3400  Teleprinter No.
<input type="checkbox"/> Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.	

## Box No. V DESIGNATION STATES

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

## Regional Patent

- ☐ AP **ARIPO Patent:** GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, SD Sudan, SZ Swaziland, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- ☐ EA **Eurasian Patent:** AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- ☒ EP **European Patent:** AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- ☐ OA **OAPI Patent:** BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

## National Patent (if other kind of protection or treatment desired, specify on dotted line):

- |   |   |
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| <input type="checkbox"/> AT Austria                               | <input type="checkbox"/> LV Latvia                                    |
| <input checked="" type="checkbox"/> AU Australia                  | <input type="checkbox"/> MD Republic of Moldova                       |
| <input type="checkbox"/> AZ Azerbaijan                            | <input type="checkbox"/> MG Madagascar                                |
| <input type="checkbox"/> BA Bosnia and Herzegovina                | <input type="checkbox"/> MK The former Yugoslav Republic of Macedonia |
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| <input type="checkbox"/> BY Belarus                               | <input checked="" type="checkbox"/> MX Mexico                         |
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The applicant declares that those additional designations are subject to confirmation and that any designation which is not confirmed before the expiration of 15 months from the priority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. (Confirmation of a designation consists of the filing of a notice specifying that designation and the payment of the designation and confirmation fees. Confirmation must reach the receiving Office within the 15-month time limit.)

**Supplemental Box** If the Supplemental Box is not used, this sheet need not be included in the request.

Use this box in the following cases:

1. If, in any of the Boxes, the space is insufficient to furnish all the information:

in particular:

- (i) if more than two persons are involved as applicants and/or inventors and no "continuation sheet" is available;
- (ii) if, in Box No. II or in any of the sub-boxes of Box No. III, the indication "the States indicated in the Supplemental Box" is checked;
- (iii) if, in Box No. II or in any of the sub-boxes of Box No. III, the inventor or the inventor/applicant is not inventor for the purposes of all designated States or for the purposes of the United States of America;
- (iv) if, in addition to the agent(s) indicated in Box No. IV, there are further agents;
- (v) if, in Box No. V, the name of any State (or OAPI) is accompanied by the indication "patent of addition," or "certificate of addition," or if, in Box No. V, the name of the United States of America is accompanied by an indication "Continuation" or "Continuation-in-part";
- (vi) if there are more than three earlier applications whose priority is claimed;

in such case, write "Continuation of Box No. ..." [indicate the number of the Box] and furnish the information in the same manner as required according to the captions of the Box in which the space was insufficient;

in such case, write "Continuation of Box No. III" and indicate for each additional person the same type of information as required in Box No. III;

in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the applicant(s) involved and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, European or OAPI patent) for the purposes of which the named person is applicant;

in such case, write "Continuation of Box No. II" or "Continuation of Box No. III" or "Continuation of Boxes No. II and No. III" (as the case may be), indicate the name of the inventor(s) and, next to (each) such name, the State(s) (and/or, where applicable, ARIPO, European or OAPI patent) for the purposes of which the named person is inventor;

in such case, write "Continuation of Box No. IV" and indicate for each further agent the same type of information as required in Box No. IV;

in such case, write "Continuation of Box No. V" and the name of each State involved (or OAPI), and after the name of each such State (or OAPI), the number of the parent title or parent application and the date of grant of the parent title or filing of the parent application;

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2. If the applicant claims, in respect of any designated Office, the benefits of provisions of the national law concerning non-prejudicial disclosures or exceptions to lack of novelty:

in such case, write "Statement Concerning Non-Prejudicial Disclosures or Exceptions to Lack of Novelty" and furnish that statement below.

#### Continuation of Box IV

GUSTAVSON, William R.  
NIXON, Dale B.  
Sidley & Austin  
717 N. Harwood Street  
Suite 3400  
Dallas, Texas 75201  
United States of America

Box No. VI PRIORITY CLAIM

Further priority claims are indicated in the Supplemental Box ☐

The priority of the following earlier application(s) is hereby claimed:

Country (in which, or for which, the application was filed)	Filing Date (day/month/year)	Application No.	Office of filing (only for regional or international application)
item (1) US	(18 August 1997) 18.08.97	60/055,998	
item (2)			
item (3)			

Mark the following check-box if the certified copy of the earlier application is to be issued by the Office which for the purposes of the present international application is the receiving Office (a fee may be required):

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Box No. VII INTERNATIONAL SEARCHING AUTHORITY

Choice of International Searching Authority (ISA) (If two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used): ISA /US

Earlier search Fill in where a search (international, international-type or other) by the International Searching Authority has already been carried out or requested and the Authority is now requested to base the international search, to the extent possible, on the results of that earlier search. Identify such search or request either by reference to the relevant application (or the translation thereof) or by reference to the search request:

Country (or regional Office):

Date (day/month/year):

Number:

Box No. VIII CHECK LIST

This international application contains the following number of sheets:

1. request : 4 sheets  
2. description : 19 sheets  
3. claims : 5 sheets  
4. abstract : 1 sheets  
5. drawings : 4 sheets

Total : 33 sheets

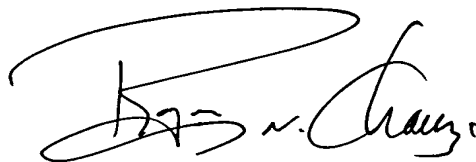
This international application is accompanied by the item(s) marked below:

1. ☐ separate signed power of attorney  
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3. ☐ statement explaining lack of signature  
4. ☐ priority document(s) identified in Box No. VI as item(s):  
5. ☒ fee calculation sheet  
6. ☐ separate indications concerning deposited microorganisms  
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8. ☐ other (specify):

Figure No. 1 of the drawings (if any) should accompany the abstract when it is published.

Box No. IX SIGNATURE OF APPLICANT OR AGENT

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).



Roger N. Chauza - Agent for the Applicant

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1. Date of actual receipt of the purported international application:	2. Drawings:  <input type="checkbox"/> received:  <input type="checkbox"/> not received:
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4. Date of timely receipt of the required corrections under PCT Article 11(2):	
5. International Searching Authority specified by the applicant: ISA /	
6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid	

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## FEE CALCULATION SHEET

Annex to the Request

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International application No.

Applicant's or agent's  
file reference

10683/00403

Date stamp of the receiving Office

Applicant

DYNAMIC VEHICLE SAFETY SYSTEMS, LTD.

## CALCULATION OF PRESCRIBED FEES

1. TRANSMITTAL FEE . . . . . 240.00 T

2. SEARCH FEE . . . . . 700.00 S

International search to be carried out by ISA/US  
*(If two or more International Searching Authorities are competent in relation to the international application, indicate the name of the Authority which is chosen to carry out the international search.)*

## 3. INTERNATIONAL FEE

## Basic Fee

The international application contains 33 sheets.first 30 sheets . . . . . 455.00 b<sub>1</sub>3 x 10.00 = 30.00 b<sub>2</sub>

remaining sheets additional amount

Add amounts entered at b<sub>1</sub> and b<sub>2</sub> and enter total at B . . . . . 485.00 B

## Designation Fees

The international application contains 7 designations.7 x 105.00 = 735.00 D

number of designation fees amount of designation fee payable (maximum 11)

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*(Applicants from certain States are entitled to a reduction of 75% of the international fee. Where the applicant is (or all applicants are) so entitled, the total to be entered at I is 25% of the sum of the amounts entered at B and D.)*

4. FEE FOR PRIORITY DOCUMENT . . . . . 15.00 P

## 5. TOTAL FEES PAYABLE

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TOTAL

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# 18-1260

18 August 1998 (18.08.98)

Deposit Account Number

Date (day/month/year)

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**COLLISION AVOIDANCE USING GPS DEVICE  
AND TRAIN PROXIMITY DETECTOR**

**TECHNICAL FIELD OF THE INVENTION**

The present invention relates in general to collision avoidance systems, and more particularly to techniques for sensing when a vehicle is on a collision course with a train.

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

United States Patent and Trademark  
Office  
(Box PCT)  
Crystal Plaza 2  
Washington, DC 20231  
ÉTATS-UNIS D'AMÉRIQUE

in its capacity as elected Office

<b>Date of mailing (day/month/year)</b> 19 April 1999 (19.04.99)	
<b>International application No.</b> PCT/US98/17099	<b>Applicant's or agent's file reference</b> 10683/00403
<b>International filing date (day/month/year)</b> 18 August 1998 (18.08.98)	<b>Priority date (day/month/year)</b> 18 August 1997 (18.08.97)
<b>Applicant</b> ERICK, Jack, M.	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:  
16 March 1999 (16.03.99)

☐ in a notice effecting later election filed with the International Bureau on:

2. The election ☒ was  
☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

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Authorized officer

Diana Nissen

Telephone No.: (41-22) 338.83.38

## BACKGROUND OF THE INVENTION

There is an increasing concern with the number of accidents at railroad crossings. Collisions with trains are generally catastrophic, in that the destructive forces of a train are usually no match for any other type of vehicle. Indeed, federal and state regulations require  
5 that many types of vehicles, termed "priority vehicles", take special precautions before crossing a "grade" railroad crossing. For example, school buses, hazardous cargo carriers and other emergency vehicles are often required to stop at railroad crossings and verify the absence of an oncoming train before proceeding. A "grade" railroad crossing is where a  
10 motor vehicle highway, street or road directly intersects a railroad track. An intersection of a highway and a train track that involves an overpass is not a "grade" crossing, as no collision would occur even if the vehicle and train arrived at the same location at the same time.

The safety at railroad crossings has become of such significance that new federal agencies and studies have been undertaken to improve the grade crossing safety  
15 procedures. In view that a substantial number of fatalities occur every year due to collisions with trains, there has been an increased endeavor to provide sensors and detectors to warn oncoming traffic of the proximity of an approaching train. U.S. Pat. No. 5,739,768 describes a train proximity detector that provides a sensory indication to an operator when the vehicle and the train are located proximate each other. The train  
20 proximity detector of such patent receives the unique frequency transmitted by the train from the head end to the last car thereof. The carrier frequency transmitted by the train is decoded to identify certain data in the frame of transmitted data to thereby verify that the transmission originated from a train. While the train proximity detector functions very efficiently for its intended purpose, the operator of the vehicle will be given a warning of  
25 the proximity of the train, even if the train and vehicle are not on a collision course. For example, if the train and the car are traveling together, but in parallel paths, and there is no intersection between the road and the railroad track, the operator of the vehicle is nevertheless warned about the proximity of the train.

Other suggested devices attempt to overcome this problem, but at the expense of  
30 additional complexity, cost and apparatus that is required to be added to the equipment of the train. For example, in U.S. Pat. No. 4,942,395, by Ferrari, the train transmits on a first



frequency to a receiver located at an intersection, and a second frequency is transmitted from a transmitter at the crossing to oncoming vehicles. In this manner, the vehicles do not directly receive the train transmission, and the vehicles are only provided a warning when in the proximate vicinity of the railroad crossing.

5 U.S. Pat. No. 5,554,928 by Shirkey et al. discloses a wireless train proximity alert system in which both a locomotive and vehicle rely on GPS coordinates for proper operation. In this system, the locomotive computes the train speed based on the GPS coordinates and transmits the coordinates and the train speed to a grade crossing transceiver. The grade crossing transceiver receives such information and computes an  
10 estimated time of arrival of the train. When the estimated time of arrival is within about 20-30 seconds of the grade crossing, the grade crossing transceiver transmits the coordinates of both the crossing and a boundary warning zone. A receiver mounted in a vehicle receives the coordinates of the grade crossing as well as the coordinates of the boundary warning zone around the grade crossing. In addition, the vehicle itself has a GPS receiver  
15 for receiving the coordinates of the vehicle. A controller determines if the vehicle is then within the boundary of the warning zone. If so, the controller determines if the vehicle is within a predetermined range of the crossing and if so, an alarm signal is provided. The predetermined range calculated by the vehicle controller is dependent upon vehicle speed and the braking distance of the vehicle which is a function of the type of vehicle.

20 Many other types of vehicle and train proximity detectors are proposed in the prior art. Many of the proposed techniques involve complicated and expensive equipment that must be added either to the train or to the vehicle, or both. It can be appreciated that in order for train proximity detectors to be installed on vehicles, in general, the equipment must be efficient, reliable and cost effective.

25 From the foregoing, it can be seen that a need exists for an improved train proximity detector that utilizes currently available resources to provide an operator of a vehicle with a sensory indication when the vehicle is in the vicinity of the train, and on a collision course therewith. Another need exists for an improved train proximity detector that relies on the presence of a train by conventional transmissions therefrom, as well as  
30 relies on global positioning satellite (GPS) data for determining the location and direction of travel of the vehicle, whereby when such data is processed, it can be determined whether

the vehicle is on a collision course with the train. A subsidiary need exists for a train proximity detector that has available data identifying each grade railroad crossing and corresponding compass bearing data of the roads crossing the railroad track.

2025-03-20 09:00:00

## SUMMARY OF THE INVENTION

In accordance with the principles and concepts of the invention, there is disclosed an improved train proximity detector that substantially reduces or overcomes the problems and disadvantages of the prior art devices.

5 In accordance with a preferred embodiment of the invention, disclosed is a train collision avoidance system that not only determines if a train is in the vicinity of the vehicle, but also if the train and the vehicle are both moving toward a common intersection where a collision would be inevitable. In the preferred form of the invention, the train collision avoidance system includes a first processor for receiving GPS longitude/latitude parameters  
10 to define the location of the vehicle. The first processor also includes as an input a compass or bearing for providing the direction of travel of the vehicle. Lastly, the first processor has access to a data base memory storing railroad grade crossing locations. The grade crossing location data stored in the data base is associated with heading or bearing information of all roads that intersect the railroad tracks. Operating in conjunction with the  
15 first processor is a second processor that detects the proximity of the train. The second processor is fully disclosed in U.S. Pat. No. 5,739,768, and is coupled to the first processor by an I/O bus. The train proximity detector is sensitive to train transmissions within about at least 1500-2000 feet from the train.

The GPS longitude/latitude coordinates of the vehicle are processed by the first  
20 processor to undergo a ranging function. The ranging function involves the elimination of various least significant digits of the longitude and latitude coordinates, thereby providing an area of protection around the vehicle of, for example, 800 meters. Next, the first processor searches through the data base memory to find all the grade crossing locations that fall within the protection area situated about the vehicle. If no affirmative grade  
25 crossing is found in the data base, then the first processor continues by receiving another GPS longitude/latitude coordinate and compass bearing parameter and undergoes the same processing. If a grade crossing is found in the data base memory to be within the area of protection around the vehicle, then the first processor determines if the vehicle is on the same heading as the road that intersects the railroad tracks. This is accomplished by  
30 comparing the vehicle bearing with the direction data stored in association with the grade crossing data stored in the data base. If a match is found as a result of this second

5

10

1. The first step is to identify the problem. This involves understanding the current situation and the goals that need to be achieved.

1. The first group of people who are not in the majority are those who are in the majority of the population but are not in the majority of the population.

5

constructed in accordance with the preferred embodiment;

the least significant digits of the longitude and latitude coordinates that are used;

10

railroad grade crossing; and

15

## DETAILED DESCRIPTION OF THE INVENTION

FIG. 1 illustrates in block diagram form a train collision avoidance system 10 constructed in accordance with the preferred embodiment of the invention. The system includes a first processor 12 and a second processor 14, each coupled together by an I/O bus 16. The first processor 12 has as a first input latitude and longitude coordinates provided by a GPS unit 18. The latitude/longitude parameters are coupled from the GPS unit 18 to the GPS processor 12 by way of an RS-32 bus 20. The GPS unit 18 can be of many conventional varieties that provide common output protocols, such as NMEA 0183. For example, GPS units are available that rely on three satellites to provide position data accurate to within about 100 meters. Other, more expensive, GPS units are available which rely on up to twelve satellites to provide a higher degree of accuracy, many within several feet. In addition, many automobiles are presently provided with GPS units coupled to mobile telephones for communicating information to centralized stations such as the location of an accident, the location when a call from the mobile telephone was initiated, etc. Such units are provided with an RS-232 output for providing longitude and latitude coordinate information.

The GPS processor 12 also receives compass bearing parameters provided by an electronic compass 22. The electronic compass 22 is coupled to the GPS processor 12 by an I/O bus 24. The electronic compass 22 can be of a variety of designs that are commercially available, such as the Pewatron 6945 digital compass. Preferably, the output of the electronic compass 22 is a data string representative of the primary eight directions, namely, N, NE, E, SE, etc.

The GPS processor 12 is coupled to a speed indicator 26 of the vehicle to provide data related to the speed of the vehicle. The vehicle speed unit 26 is coupled to the GPS processor 12 by an I/O bus 28.

Lastly, a data base memory 30 is coupled to the GPS processor 12 by a multi-bit data bus 32. The data base memory 30 is preferably of a non-volatile type, such as a EEPROM. The data base memory 30 is for storing data of all of the grade railroad crossings. The particular data stored in the memory 30 comprises a register string that includes a crossing position string and a heading string, both of which will be described in

detail below. While not shown, the GPS processor 12 also includes 1M byte of cache memory.

As noted above, the GPS processor 12 is coupled to the train proximity and demodulator processor 14 by an I/O bus 16. The train proximity processor 14 has coupled thereto a memory 34, an RF unit 36 and a display 38. The RF unit 36 includes an antenna 40 for receiving transmission by locomotives, trains and the like. It is noted that only specified frequencies are allocated by governmental agencies for the transmission by trains between the head end thereof and the last car. The transmission between the engine and the last car of a train is necessary to provide information, such as brake pipe pressure, etc. The RF unit 36 is designed and tuned to receive the specific frequency allocated to trains. When the carrier frequency is received, the RF unit 36 couples a corresponding signal to the demodulator and processor 14 by way of line 42. A display 38 is driven by the demodulator and processor 14 to provide an operator of the vehicle both a visual and audio indication of the proximity of the train, and that the vehicle is on a collision course with the train. The train proximity demodulator and processor 14, the RF unit 36 and the display 38 are described in more detail in U.S. Pat. No. 5,739,768, the entire disclosure of which is incorporated herein by reference.

Briefly described, the train collision avoidance system 10 operates in the following manner. Periodically, GPS coordinates are provided by the GPS unit 18 to the GPS processor 12. The longitude and latitude coordinates provided by the GPS unit 18 uniquely identify the geographical location of the vehicle to which the train collision avoidance system 10 is associated. The GPS processor 12 also receives vehicle heading information and speed information respectively from the electronic compass 22 and the vehicle speed unit 26. The GPS processor 12 then processes the longitude and latitude coordinates of the vehicle to expand the same so as to provide a radius or area of protection around the vehicle. The GPS processor 12 then reads the data base memory 30 with regard to the grade crossing coordinates to determine if any of the coordinates fall within the area of protection. If so, the processor 12 then further determines whether the heading of the vehicle coincides with the direction of the road that intersects the railroad crossing. As noted above, the heading of the road that intersects the tracks at the grade crossing is stored in the data base in association with the grade crossing coordinate data. If either of

these comparisons are negative, the GPS processor 12 returns to process a subsequent GPS coordinate of the vehicle. If, on the other hand, both comparisons are in the affirmative, it is next determined whether a train has been detected in the proximity of the grade crossing. If no train is detected, the train collision avoidance system 10 nevertheless provides the operator of the vehicle an alert signal and a corresponding audio sound. If a train is indeed detected in the proximity of the grade crossing, then a warning and associated audio sound are provided to the operator of the vehicle. The alert and warning indications and sounds are different, thereby allowing the operator of the vehicle to easily ascertain the degree of significance of caution that should be exercised at the grade crossing.

Reference is now made to FIGS. 2-5 for a detailed description of the invention. In particular, FIGS. 3a and 3b illustrate the programmed operation of the GPS processor 12. The GPS processor 12 is the same type of PIC controller as utilized in the train proximity detector. The compiler utility utilized in the programming of the GPS processor 12 is the Microchip MPLAB C-17 version 2.0. The language utilized to program the GPS processor 12 is the C language. In accordance with the preferred embodiment, it is determined whether the GPS processor 12 has received a new frame of longitude and latitude coordinates of the vehicle. This is shown in decision block 50 of FIG. 3a. As noted above, GPS units are available in many different varieties, many of which can provide location coordinates every one-two seconds. GPS coordinates are transferred as a serial string from the GPS unit 18 in an asynchronous manner on the RS-232 bus 20, to the GPS processor 12. In the event a new GPS frame of longitude and latitude coordinates has been received, processing branches from decision block 50 to program flow block 52 to start a timer. The GPS processor 12 maintains a timer of a predefined time period, such as five seconds. The utilization of a software timer assures that the GPS unit 18 is operating properly. If, for example, no frame of vehicle location data has been received, processing branches from decision block 50 to decision block 54 where it is determined whether the timer has expired. If the timer has not expired, processing branches back to the input of decision block 50. If, on the other hand, the timer has expired, processing branches from decision block 54 to program flow block 56 where the output of the GPS processor 12 is driven to a logic high. As noted in FIG. 1, the output of the GPS 12 processor is coupled to the train proximity demodulator and processor 14 by the I/O bus 16.



In the preferred form of the invention, the software of the train demodulator and processor 14 is modified somewhat from that described in U.S. Pat. No. 5,739,768, to identify the logic level on I/O input 16. If the logic level on I/O bus 16 is at a high level, the train proximity demodulator and processor 14 next determines whether a train is the proximity of the vehicle. This is shown in decision block 58 of FIG. 3a. As fully described in the noted patent, the RF unit 36 is a narrow band receiver for receiving the carrier frequency specified for locomotive and train operations. As is well known, the head end transmitter of a train periodically transmits information to the transceiver located on the last car of the train to determine various parameters of the train operation. The last car of the train is also equipped with a transmitter for responding to the head end transceiver concerning the various parameters involved. Nevertheless, the train proximity modulator and processor 14 receives the transmission from the train, when such train is within 1500 feet or so of the collision avoidance system 10. Once the processor 14 determines that a bona fide train transmission has occurred, and has been received, further processing is carried out. Indeed, if no train is in the proximity of the collision avoidance system 10, then processing branches from decision block 58 back to the input of decision block 50. If the train proximity demodulator and processor 14 determines that a train is in the vicinity of the collision avoidance system 10, then processing branches to program flow block 60. Here, a warning and audio signal are provided by the processor 14.

In the preferred form of the invention, the display 38 includes a visual indication for an alert and a different visual indication for a warning. The visual indication for an alert is a symbol much like the circular railroad crossing sign, with a double "R". Two yellow indicators in the symbol flash in unison to indicate the visual alert. The audio sound comprises an 800 and 1300 Hertz tones that alternate for two seconds. The audio sound level is 15 db above an ambient level of the vehicle. As the ambient sound level of the vehicle increases, the volume of the audio sound correspondingly increases, up to 105 db. With regard to the warning indication, the symbol on the display 38 comprises a cross bar, with the words "railroad" and "crossing" on the cross bar. In addition, two red LEDs located below the cross alternately blink. Again, the audio sound is similar to that of the alert but lasts for eight seconds. In both the alert and warning indications, the audio sound is only active for a short time, and thereafter is automatically removed. Once an alert or

warning is given to the vehicle operator, it proceeds through the cycle, even if the parameters input to the system change so that a collision is not thereafter possible. The alert and warning indications remain active for at least five seconds, and then extinguish if there is no longer the danger of a potential collision.

5           With reference again to FIG. 3a, from program flow block 60, processing returns to decision block 50. Assuming that, as a result of decision block 50, a new GPS frame of coordinates has been received, and the timer has been started according to block 52, processing proceeds to block 62, where the vehicle position is qualified. Here, the position of the vehicle is time qualified, in that the position coordinates of the vehicle are within the  
10           time constraints of the timer, and are considered to be valid. Alternatively, those skilled in the art can conduct preliminary processing on the longitude and the latitude coordinates of the vehicle by verifying that such coordinates are within allowable ranges. For example, any valid latitude coordinate on the earth must be between 0° and 90° North and South. In like manner, any valid longitude coordinate on the earth must be between 0° and 180° East  
15           and West. As is well known, 0° longitude exists at the Prime Meridian (Greenwich), and 180° exists at the international date line. As yet another alternative, those skilled in the art may prefer to temporarily store the previous coordinates, or an average of the previous coordinates, and verify that the present coordinates do not significantly vary therefrom. In any event, after the vehicle position is qualified, the speed and direction of travel of the  
20           vehicle are obtained by the GPS processor 12, as shown in program flow block 64. The speed and direction of travel parameters are obtained respectively from the vehicle speed unit 26 and the electronic compass 22.

          Depending upon the speedometer utilized, the speed parameter can be digital, analog or another type of signal which can be readily converted to digital form, if  
25           necessary, and processed by the GPS processor 12. In the preferred embodiment, the speed parameter of the vehicle is an analog voltage that corresponds to the actual speed of the vehicle. The direction of travel parameter from the electronic compass 22 can be an analog voltage, digital signals or other signals that are representative of the eight-point cardinal system, which is N, NE, E, SE, S, etc. Next, the GPS processor 12 accesses a  
30           table shown in FIG. 5 for correlating the vehicle speed to a dither number. As noted above, an analog voltage is coupled from the vehicle speed unit 26 via line 28, to the GPS

processor 12. The GPS processor 12 includes an internal A/D converter for converting the analog voltage to corresponding digital signals. As noted in FIG. 5, the speed of the vehicle linearly corresponds to an analog voltage. The table assigns a specified dither number to the four ranges of speed. As can be seen, for lower speeds, the dither number is lower, as compared to the higher speeds. While only four different levels of speed are employed, those skilled in the art may prefer to utilize fewer or a greater number of speed levels and corresponding dither numbers.

In program flow block 68, the latitude and longitude coordinates are dithered according to the dither number of Table 5. In the dithering of the location coordinates of the vehicle, the fourth least significant bit of both the longitude and the latitude coordinates is dropped. For instance, and as noted in FIGS. 2a and 2b, it is assumed for purposes of example that the location of the vehicle is specified by a latitude of N35.9879 and longitude of W124.6432. In the United States, the latitude is "North", and the numbers are "35" the degrees, the "98" are the minutes, the "79" are the seconds and the "85" is a further division of the seconds. A similar designation is used with the longitude coordinates except the degrees are either "West" or "East". In the dithering operation, the fourth least significant bits of the latitude and longitude coordinates are dropped, thereby leaving the resulting coordinates N35.987 and W123.643. If, for example, the speed of the vehicle is 35 miles per hour, then according to the table of FIG. 5, the dither number would .001. In dithering the vehicle location, the value .001 is both added and subtracted from the truncated longitude and latitude coordinates. As shown in FIG. 2a, as a result of the dithering operation, the range of the longitude coordinates becomes W124.642 through W124.644. In like manner, the range of the latitude coordinates becomes N35.986 through N35.988. These ranges of both latitude and longitude define an area of protection around the vehicle shown by the broken lines. While the area of protection is actually rectangular or square, such area is sometimes termed herein as a "radius" of protection around the vehicle. By changing the third least significant digit of the latitude by the value .001, the space between the broken lines of the latitude coordinates is about 100 feet. While the space between the broken lines of the longitude coordinates is somewhat different, the error is sufficiently small that it is considered the same as that of the latitude.

FIG. 2b illustrates an example when the speed of the vehicle is between 40 mph and 59 mph, where the dither number is .002. Here the range between the longitude and latitude coordinates is further increased, which corresponds to an increase in speed. By changing the longitude and latitude coordinates by  $\pm .002$ , the radius of protection around the vehicle is greater than that shown in FIG. 2a, for a slower speed vehicle. As can be appreciated, by utilizing the table of FIG. 5, a vehicle with a higher speed results in a greater radius of protection. Those skilled in the art may prefer to utilize other techniques for correlating the speed of the vehicle to the area of protection associated with the vehicle.

Program flow block 70 shows the reading of the data base memory by the GPS processor 12. Preferably, the GPS processor 12 is programmed to select a narrow range of memory addresses so as to read a relatively few grade crossing location data that closely corresponds to the location of the vehicle. This is because the cache memory of the GPS processor 12 is smaller than the data base memory 30. It is realized that the number of railroad grade crossings in the United States is about 300,000. In order to read the data base memory 300,000 times and process such information in a short period of time, an expensive and high speed processor would be required. According to the processor utilized in the present invention, only a small section of the data base memory is read, which section is selected to have stored therein the coordinates of railroad crossings which closely correspond to the location of the vehicle. Assuming for example the vehicle location coordinates are dithered by  $\pm .001$  (FIG. 2a), the processor 12 reads the data base memory 30 starting where the latitude coordinates are N35.986 and continuing where the latitude coordinates are N35.988. It is noted that the grade crossing coordinates are stored in the data base memory in ascending latitude numbers. Various other techniques can be utilized to select memory addresses for accessing those grade crossing locations that are in the vicinity of the vehicle. For example, yet another table (not shown) can be utilized to correlate ranges of latitude and longitude coordinates with memory addresses. The area of the United States, for example, could be segmented in a grid network of 250 miles square. The coordinates of the railroad crossings in each grid could be stored in the data base memory 30 between specified addresses. In correlating the longitude and latitude coordinates of the vehicle with geographical grids, the corresponding addresses of data

base memory 30 could be readily accessed to read the grade crossing locations that are in the same grid in which the vehicle is located.

FIG. 4 illustrates the format of the data stored at each memory location of the data base memory 30. FIG. 4 shows a register string 80 which includes sixteen bytes 82 for storing a latitude coordinate of a grade crossing, a sixteen-byte area 84 for storing a longitude coordinate, and a six-byte area 86 for storing heading information corresponding to the direction of the road that crosses the grade crossing. In the preferred form of the invention, the latitude and longitude coordinates are stored with a full four bits to the right of the decimal point. While two bytes in the heading field 86 are sufficient for a compass direction, two bytes are utilized to specify one road direction, and two other bytes store the opposite direction of the road. For example, if there exists a North-South road that intersects with the railroad crossing, then the designations for both North and South would be written into the respective bytes of the heading string field 86. The fifth and sixth bytes of the heading string 86 can be used to store a heading of a one-way service road that runs parallel to the railroad tracks, where the service road then crosses the road that intersects with the railroad. In this instance, a match of the heading of the vehicle and train will occur, even through the service road runs parallel to the railroad tracks. However, a potential still exists for a collision if the vehicle turns off the service road onto the road that crosses the tracks. The data stored in the heading fields 86 corresponds to the eight-point cardinal system.

Alternatively, and to increase the accuracy, compass degrees of the direction of the road could be stored in the register string 80, in association with the latitude and longitude coordinates. Lastly, in the event that numerous and complicated road directions are associated with the grade crossing, the designation "OF" can be stored in the heading area 86. This designation indicates to the GPS processor 12 that the heading of the road (s) should be disregarded. Essentially, when a register string 80 read from the data base memory 30 has a heading string of "OF", an alert or warning can be provided irrespective of the direction of travel of the vehicle. Stated another way, a heading designation of "OF" makes the heading of the vehicle irrelevant.

In the preferred embodiment, the register string 80 is stored in the data base memory 30 for each grade crossing in the following format:

N35,98.7985,, W124,64.3255,, OE, OB

where the first eleven characters represent the latitude, the next twelve characters starting with "W" represent the longitude, and the last two pairs of letters represent directional

5 headings. The directional headings are:

	NORTH =	"0E"
	NORTHWEST =	"0C"
	WEST =	"0D"
	SOUTHWEST =	"09"
10	SOUTH =	"0B"
	SOUTHEAST =	"03"
	EAST =	"07"
	NORTHEAST =	"06"
	ANY DIR =	"0F"

15

After proceeding through program flow block 70 of FIG. 3a, the GPS processor 12 has available the dithered area of protection of the vehicle and the section of the data base memory 30 storing the coordinates of railroad crossings in the vicinity of the vehicle. In processing the instructions corresponding to decision block 72, the GPS processor 12 compares the data of the first position string read from the data base 30 to determine if it falls within the area of protection, such as shown by the broken line of FIG. 2a. This assumes that the speed of the vehicle was 35 mph, and the dither number is  $\pm .001$ . For this vehicle speed, the function of decision block 72 determines if a railroad grade crossing is sufficiently close to the vehicle such as to provide an indication of the same to the operator. By utilizing rudimentary mathematical operations, the GPS processor 12 determines whether or not the latitude coordinate of the register string 80 falls within N35.986 and N35.988. It is also determined whether the longitude coordinate of the register string 80 falls within W124.642 and W124.644, again as noted in FIG. 2a. If both the latitude and the longitude coordinates of the register string 80 do not both fall within the dithered coordinates, processing branches from decision block 72 to decision block 74 of FIG. 3c. In decision block 74, it is determined whether all relevant sections of the data

base 30 have already been read. If all relevant sections of the memory have been read, it can be concluded that there is no grade crossing in the area of protection of the vehicle, whereupon processing returns to the start, as shown in FIG. 3a. On the other hand, if all sections of the data base memory have not been read, the GPS processor 12 reads another  
5 contiguous section of memory, as shown in program flow block 76. The contiguous register strings 80 that are read include a sufficient number of bytes of memory data such that the cache memory overflows. There may be instances where the railroad grade crossings are dense, per unit of geographical area. In the example of FIG. 2a, the cache memory may overflow before all register strings of data corresponding to latitudes between  
10 N35.986 and N35.988 can be written into the cache memory. In this instance, the remaining sections of the data base memory that include latitude coordinates between the two limits are thereafter read and temporarily stored in the cache memory. The vehicle location coordinates are then sequentially compared to the register strings in the cache memory. This operation continues until all of the register strings having latitude  
15 coordinates between N35.986 and N35.988 have been read from the data base 30 and compared with the vehicle position coordinates. The process then proceeds again with decision block 72 to determine if any of the grade crossings read from the data base 30 are within range of the vehicle.

To reiterate briefly, at higher vehicle speeds, the dither number is larger, and thus  
20 the area of protection around the vehicle, such as shown in FIG. 2b is greater. With a greater area, it is more likely that there will be a match between the latitude and longitude coordinates of a register string 80 within the area of protection. As such, a greater distance thus exists between the vehicle and the grade crossing before a warning or indication is provided to the operator of the vehicle.

25 In the event that both the latitude and longitude coordinates of a register string 80 are found to lie within the area of protection, processing branches from decision block 72 to decision block 80 of FIG. 3b. The instructions carried out by the GPS processor 12 in connection with decision block 80 cause a comparison between the heading field 86 of the register string 80, and the heading of the vehicle. As noted above, the heading of the  
30 vehicle is provided by the electronic compass 22. It is significant to note that a match occurs when the heading of the vehicle is similar to the direction or heading of the road that

intersects the grade crossing. In addition, a match also exists if the heading of the vehicle is opposite, or 180 degrees, from the heading data stored in the heading field 86 of the register string 80. In other words, irrespective of whether the vehicle is approaching the railroad crossing or heading away from the crossing, a match will nevertheless be found. A match provides an indication that the vehicle is not only in the vicinity of a grade crossing, but is also on the very road that intersects the crossing. Because of the variances in the accuracy of compass readings, the GPS processor 12 can be programmed to find a match in the vehicle and road headings, despite a difference of  $\pm 10$  degrees, or so. Those skilled in the art may prefer to refine this comparison by determining whether the distance between the grade crossing and the vehicle is decreasing or increasing. If the distance is decreasing, this necessarily means that the vehicle is approaching the grade crossing. On the other hand, if the distance therebetween is increasing, this means that the vehicle has passed the grade crossing and is headed in the opposite direction, and is no longer in danger of a collision. Other techniques may be utilized to determine if the vehicle is approaching or headed away from the grade crossing.

From decision block 80, the GPS processor 12 drives the output line 16 to a logic high, as noted in block 82. This signals the train proximity detector that a potential exists for a collision between an oncoming train, if any, and the vehicle. In decision block 84, the train proximity demodulator and processor 14 determines whether a train has been detected in the vicinity of the vehicle. If a train has not been detected as being in the vicinity of the vehicle, processing branches to block 86 where an alert indication is provided, together with an audio sound. Thus, an alert indication is provided when a grade crossing has been found to be within the area of protection of the vehicle, and the vehicle is on the same road that intersects the crossing, but when no train is in the vicinity. It should be noted that the detection of a train need not be in the same area of protection as shown by the broken lines of FIGS. 2a and 2b. This is because the detection of a transmitted train signal is merely by way of signal strength and not by way of the use of the GPS system. However, those skilled in the art may devise techniques to ascertain the distance between a train and the vehicle, based on the GPS system.

If it is determined in decision block 84 that a train is indeed in the vicinity of the vehicle, then a warning and associated audio sound are provided to the vehicle operator, as



shown in program flow block 88. As noted above, the alert and warning indications are visually different. From either program flow blocks 86 or 88, processing returns to the start of the flow chart shown in FIG. 3a. Also, with more sophisticated GPS units, it is possible that the output thereof may include the location coordinates, the vehicle speed and even the heading of the vehicle. Nonetheless, disclosed is a system for avoiding collisions with trains, where the location of the vehicle is determined, an area of protection around the vehicle is ascertained, and whether any railroad crossing is within the area of protection. If these conditions are met, it is also determined whether the vehicle is on the same road that intersects the grade crossing. If so, a first indication is provided to the operator of the vehicle. If a train is in the vicinity of the crossing, then a second, more urgent, indication is provided to the operator of the vehicle.

While the preferred embodiment of the invention has been disclosed with reference to a specific collision avoidance system, and method of operation thereof, it is to be understood that many changes in detail may be made as a matter of engineering or software choices, without departing from the spirit and scope of the invention, as defined by the appended claims.

**WHAT IS CLAIMED IS:**

1. A train collision avoidance system, comprising:  
a data base storing train grade crossing data;  
a processor programmed to receive GPS vehicle location data that periodically identifies a location of a vehicle, and programmed to use said GPS vehicle location data  
5 and said train grade crossing data to determine if the vehicle is within a predefined distance from a grade crossing; and  
said processor is programmed to provide a sensory indication when the vehicle is within the predefined distance from said grade crossing.
2. The train collision avoidance system of Claim 1, wherein said data base stores in association with train grade crossing data, direction data that identifies a direction of a road that intersects a railroad track at the grade crossing.
3. The train collision avoidance system of Claim 2, wherein said processor is programmed to receive vehicle direction of travel data and compare said vehicle direction of travel data with the direction data stored in said data base, and if said vehicle is within the predefined distance from said grade crossing and if said vehicle direction of travel  
5 coincides with the direction data, said sensory indication is provided.
4. The train collision avoidance system of Claim 2, wherein for each train grade crossing data stored in said data base, there is stored in association therewith direction data of at least one road that intersects a railroad track at a grade crossing identified by the train grade crossing data.
5. The train collision avoidance system of Claim 4, wherein said train grade crossing data and said direction data are written into said data base so as to be read out together during one read operation of the data base.

6. The train collision avoidance system of Claim 1, wherein said processor is programmed to process said GPS vehicle location data so as to provide a radius of protection around the vehicle, said radius defined by said predefined distance.

7. The train collision avoidance system of Claim 6, wherein said GPS vehicle location data comprises latitude and longitude coordinates, and is processed by changing a respective least significant bit thereof to reduce an accuracy of the location of the vehicle.

8. The train collision avoidance system of Claim 7, wherein said processor is programmed to change a least significant bit of said longitude and a least significant bit of said latitude coordinates by adding and subtracting a predefined number.

9. The train collision avoidance system of Claim 2, wherein said direction data comprises a range of compass degrees.

10. The train collision avoidance system of Claim 1, wherein said processor is programmed to receive vehicle speed data and to change said predefined distance as a function of the vehicle speed data.

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11. A train collision avoidance system, comprising:

- a first detector for detecting a geographical location of a vehicle;
- a second detector for detecting a proximity of a train near the vehicle;
- a direction sensing device for providing data indicating a heading of the vehicle;
- a data base storing geographical coordinates of grade crossings where a road intersects a railroad track;

a processor that is programmed to compare the geographical location of the vehicle with the coordinates of the grade crossing to determine whether the vehicle is within a prescribed distance from the grade crossing; and

said processor is programmed to provide a sensory indication when said comparison is affirmative, when said detector detects a proximity of the train near the vehicle, and when the heading of the vehicle will cause the vehicle to intersect the grade crossing.

12. The train collision avoidance system of Claim 11, wherein said detector uses GPS signals to provide latitude and longitude parameters of geographical locations of the vehicle.

13. The train collision avoidance system of Claim 11, wherein said second detector uses a transmitted signal from a train to detect a proximity thereof to the vehicle.

14. The train collision avoidance system of Claim 11, wherein said processor is programmed to vary said prescribed distance as a function of a speed of the vehicle.

15. The train collision avoidance system of Claim 11, wherein said sensory indication comprises a warning, and said processor is programmed to provide an alert sensory indication when said vehicle is detected as being within said prescribed distance from said grade crossing and a proximity of a train has not been detected.

16. The train collision avoidance system of Claim 11, wherein said sensory indication comprises a visual indication, and further including an audible indication that is provided only for a predefined period of time, and then is extinguished.

17. The train collision avoidance system of Claim 11, wherein said processor is programmed to process a geographical coordinate stored in said data base by modifying the geographical coordinate by changing a least significant digit thereof.

18. The train collision avoidance system of Claim 17, wherein said geographical coordinate system comprises a multi-digit latitude parameter and a multi-digit longitude parameter, and said processor is programmed to dither the latitude and longitude parameters to provide said prescribed distance from said grade crossing.

19. The train collision avoidance system of Claim 18, wherein said latitude and longitude parameters are dithered to different extents, as a function of a speed of the vehicle.

20. The train collision avoidance system of Claim 19, wherein each said parameter is dithered by the same amount for a given speed of the vehicle.

21. The train collision avoidance system of Claim 11, wherein said data base is configured to store data corresponding to geographical locations of a plurality of grade crossings that intersect respective roads, and stores in association with each said geographical location other data representing a compass direction of at least one road that intersects the railroad tracks at said grade crossing.

22. A method of avoiding a collision with a train, comprising the steps of:

- providing an indication of a location of a vehicle;
- providing an indication of a direction of travel of the vehicle;
- reading from a data base, data identifying a location of one or more train grade crossings, and data identifying a heading of at least one road intersecting the train grade crossing;
- comparing the indication of the vehicle location with the location of the train grade crossing;
- comparing the indication of the direction of travel of the vehicle with the heading of at least one road intersecting the train grade crossing; and
- if the vehicle location is within a specified distance from the train grade crossing, and if the direction of travel of the vehicle corresponds to the heading of the road, providing a sensory indication of a potential for a collision between the train and the vehicle.

[illegible]

**WHAT IS CLAIMED IS:**

1. A train collision avoidance system, comprising:  
a data base storing train grade crossing data;  
a processor programmed to receive GPS vehicle location data that periodically identifies a location of a vehicle, and programmed to use said GPS vehicle location data  
5 and said train grade crossing data to determine if the vehicle is within a predefined distance from a grade crossing; and  
said processor is programmed to provide a sensory indication when the vehicle is within the predefined distance from said grade crossing.
2. The train collision avoidance system of Claim 1, wherein said data base stores in association with train grade crossing data, direction data that identifies a direction of a road that intersects a railroad track at the grade crossing.
3. The train collision avoidance system of Claim 2, wherein said processor is programmed to receive vehicle direction of travel data and compare said vehicle direction of travel data with the direction data stored in said data base, and if said vehicle is within the predefined distance from said grade crossing and if said vehicle direction of travel  
5 coincides with the direction data, said sensory indication is provided.
4. The train collision avoidance system of Claim 2, wherein for each train grade crossing data stored in said data base, there is stored in association therewith direction data of at least one road that intersects a railroad track at a grade crossing identified by the train grade crossing data.
5. The train collision avoidance system of Claim 4, wherein said train grade crossing data and said direction data are written into said data base so as to be read out together during one read operation of the data base.

6. The train collision avoidance system of Claim 1, wherein said processor is programmed to process said GPS vehicle location data so as to provide a radius of protection around the vehicle, said radius defined by said predefined distance.

7. The train collision avoidance system of Claim 6, wherein said GPS vehicle location data comprises latitude and longitude coordinates, and is processed by changing a respective least significant bit thereof to reduce an accuracy of the location of the vehicle.

8. The train collision avoidance system of Claim 7, wherein said processor is programmed to change a least significant bit of said longitude and a least significant bit of said latitude coordinates by adding and subtracting a predefined number.

9. The train collision avoidance system of Claim 2, wherein said direction data comprises a range of compass degrees.

10. The train collision avoidance system of Claim 1, wherein said processor is programmed to receive vehicle speed data and to change said predefined distance as a function of the vehicle speed data.



11. A train collision avoidance system, comprising:

a first detector for detecting a geographical location of a vehicle;

a second detector for detecting a proximity of a train near the vehicle;

a direction sensing device for providing data indicating a heading of the vehicle;

5 a data base storing geographical coordinates of grade crossings where a road intersects a railroad track;

a processor that is programmed to compare the geographical location of the vehicle with the coordinates of the grade crossing to determine whether the vehicle is within a prescribed distance from the grade crossing; and

10 said processor is programmed to provide a sensory indication when said comparison is affirmative, when said detector detects a proximity of the train near the vehicle, and when the heading of the vehicle will cause the vehicle to intersect the grade crossing.

12. The train collision avoidance system of Claim 11, wherein said detector uses GPS signals to provide latitude and longitude parameters of geographical locations of the vehicle.

13. The train collision avoidance system of Claim 11, wherein said second detector uses a transmitted signal from a train to detect a proximity thereof to the vehicle.

14. The train collision avoidance system of Claim 11, wherein said processor is programmed to vary said prescribed distance as a function of a speed of the vehicle.

15. The train collision avoidance system of Claim 11, wherein said sensory indication comprises a warning, and said processor is programmed to provide an alert sensory indication when said vehicle is detected as being within said prescribed distance from said grade crossing and a proximity of a train has not been detected.

16. The train collision avoidance system of Claim 11, wherein said sensory indication comprises a visual indication, and further including an audible indication that is provided only for a predefined period of time, and then is extinguished.

17. The train collision avoidance system of Claim 11, wherein said processor is programmed to process a geographical coordinate stored in said data base by modifying the geographical coordinate by changing a least significant digit thereof.

18. The train collision avoidance system of Claim 17, wherein said geographical coordinate system comprises a multi-digit latitude parameter and a multi-digit longitude parameter, and said processor is programmed to dither the latitude and longitude parameters to provide said prescribed distance from said grade crossing.

19. The train collision avoidance system of Claim 18, wherein said latitude and longitude parameters are dithered to different extents, as a function of a speed of the vehicle.

20. The train collision avoidance system of Claim 19, wherein each said parameter is dithered by the same amount for a given speed of the vehicle.

21. The train collision avoidance system of Claim 11, wherein said data base is configured to store data corresponding to geographical locations of a plurality of grade crossings that intersect respective roads, and stores in association with each said geographical location other data representing a compass direction of at least one road that intersects the railroad tracks at said grade crossing.

22. A method of avoiding a collision with a train, comprising the steps of:  
providing an indication of a location of a vehicle;

providing an indication of a direction of travel of the vehicle;

5 reading from a data base, data identifying a location of one or more train grade  
crossings, and data identifying a heading of at least one road intersecting the train grade  
crossing;

comparing the indication of the vehicle location with the location of the train grade  
crossing;

10 comparing the indication of the direction of travel of the vehicle with the heading of  
at least one road intersecting the train grade crossing; and

if the vehicle location is within a specified distance from the train grade crossing,  
and if the direction of travel of the vehicle corresponds to the heading of the road,  
providing a sensory indication of a potential for a collision between the train and the  
vehicle.

## **COLLISION AVOIDANCE USING GPS DEVICE AND TRAIN PROXIMITY DETECTOR**

### **ABSTRACT OF THE DISCLOSURE**

5 A processor (12) located on a vehicle processes GPS coordinates input thereto to provide an area of protection around the vehicle. Railroad grade crossing data (82, 84) stored in a data base (30) is read therefrom to ascertain whether a grade crossing is within the area of protection. If so, heading data (86) stored in association with the grade crossing data (82, 84) is compared with the heading of the vehicle to determine if the vehicle is on a road that intersects the grade crossing. If so, a first level alert is provided to the vehicle operator. If, in addition, a train is in the vicinity of the crossing, a second level alert is provided to the operator of the vehicle.

IN THE UNITED STATES INTERNATIONAL PRELIMINARY EXAMINATION  
AUTHORITY FOR THE PATENT COOPERATION TREATY

In re application of:

Dynamic Vehicle Safety Systems

International

Application No.: PCT/US98/17099

International

Filing Date: 18 August 1998

For:

COLLISION AVOIDANCE USING GPS DEVICE AND TRAIN  
PROXIMITY DETECTOR

Box PCT  
Assistant Commissioner of Patents  
Washington, DC 20231  
Attention: IPEA/US

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box PCT, Assistant Commissioner of Patents, Washington, DC 20231, Attention: IPEA/US, on  
September 28, 1999  
Date of Deposit

Roger N. Chauza, Reg. No. 29,753  
Name of Applicant, Assignee, or Registered Representative

Signature

Date

September 28, 1999

September 28, 1999  
Date of Signature

Dear Sir:

**RESPONSE TO WRITTEN OPINION**

In the Written Opinion dated August 4, 1999, Claims 1-22 were indicated as lacking novelty under PCT Article 33(2), in view of the Shirkey et al. patent reference.

In the Examiner's explanations in the Written Opinion, it is noted that column 2, lines 23-29 of the Shirkey reference are relied upon for the teaching of the use of direction data of a road that intersects a railroad track at a grade crossing. After having studied the Shirkey patent reference, no reference or suggestion can be found as to the use of either direction data of a road, or the use of the direction of travel of the vehicle. Rather, a predefined warning zone 52 (fig. 2) is defined around the grade crossing. If the vehicle is within the warning zone, and within a predefined range of the grade crossing, an alarm will be provided, irrespective of the direction of travel of the vehicle.

Claim 1 has been amended to specify that the processor is programmed to correlate the heading data of a road with a heading of the road vehicle. Claim 1 has also been amended to specify that the database stores heading data of the road in association with the train grade

crossing data. Lastly, Claim 1 has been amended to specify that the processor provides a sensory indication when, inter alia, the road vehicle is on a road that intersects with the grade crossing. As noted above, the Shirkey reference does not maintain any data of either the heading of the road that intersects the crossing, nor of the heading of the vehicle that is in the proximity of the train crossing. For these reasons, Claim 1 is novel and nonobvious in view of the Shirkey patent reference. Dependent Claims 2-10 are patentable for the same reasons noted above in connection with Claim 1.

Independent Claim 11 is patentable in its own right, without amendment, as it specifies a direction sensing device for providing data indicating a heading of the road vehicle. As noted above, the Shirkey reference is silent with regard to this claim limitation. As such, independent Claim 11, and dependent Claims 12-21 are patentable over the Shirkey patent reference.

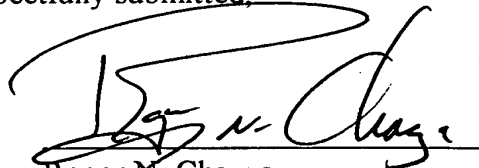
Independent method Claim 22 specifies the method step of providing an indication of a direction of travel of the road vehicle, and specifies reading from the database, data identifying a heading of at least one road intersecting the train grade crossing. Because the Shirkey reference does not suggest the use of any heading or bearing data whatsoever, Claim 22 is also patentable over such reference.

Summary

The claims now of record exhibit both novelty and inventive step over the references cited in the international search report.

Respectfully submitted,

By:



Roger N. Chauza  
Agent of Applicant  
Registration No. 29,753

RNC/bt  
September 28, 1999  
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717 N. Harwood, Suite 3400  
Dallas, Texas 75201  
(214) 981-3304

# PCT

## INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference 10683/00403	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. PCT/US98/17099	International filing date ( <i>day/month/year</i> ) 18 AUGUST 1998	(Earliest) Priority Date ( <i>day/month/year</i> ) 18 AUGUST 1997
Applicant DYNAMIC VEHICLE SAFETY SYSTEMS, INC.		

This international search report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This international search report consists of a total of 3 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. ☐ Certain claims were found unsearchable (See Box I).
2. ☐ Unity of invention is lacking (See Box II).
3. ☐ The international application contains disclosure of a **nucleotide and/or amino acid sequence listing** and the international search was carried out on the basis of the sequence listing
 

☐ filed with the international application.  
☐ furnished by the applicant separately from the international application,  

☐ but not accompanied by a statement to the effect that it did not include matter going beyond the disclosure in the international application as filed.

☐ transcribed by this Authority.
4. With regard to the title, ☒ the text is approved as submitted by the applicant.  
☐ the text has been established by this Authority to read as follows:
5. With regard to the abstract,
 

☒ the text is approved as submitted by the applicant.  
☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.
6. The figure of the drawings to be published with the abstract is:  
 Figure No. 1

☒ as suggested by the applicant.  
☐ because the applicant failed to suggest a figure.  
☐ because this figure better characterizes the invention.

☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US98/17099

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : Please See Extra Sheet.

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 701/19, 213; 342/357, 455; 364/449, 461; 340/901, 933, 903, 943; 246/473.1, 122 R, 126, 125, 174, 176, 167 R

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5,574,469 A (HSU) 12 November 1996 (12.11.96), Fig. 3 & 5, col. 1-10.	1-3, 5, 6, 9, 10-13, 15-16 & 22
X, P	US 5,699,986 A (Welk) 23 December 1997 (23.12.97), col.2, lines 10-68; col. 3, lines 1-34; col. 3-7.	1-3, 5, 6, 9, 10-13, 15, 16 & 22
Y	US 5,554,982 A (Shirkey et al.) 10 September 1996 (10.09.96), see whole document.	1-6 & 9-22



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	*T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	*X	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	*Y	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* &	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

29 OCTOBER 1998

Date of mailing of the international search report

02 DEC 1998

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

WILLIAM CUCHLINSKI *Diane Smith for*

Telephone No. (703) 308-3873



**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US98/17099

**A. CLASSIFICATION OF SUBJECT MATTER:**

IPC (6):

G01S 3/02; G08G 1/01; B61L 29/00; B61L 25/00; G08G 1/16; G08G 1/095

**A. CLASSIFICATION OF SUBJECT MATTER:**

US CL :

701/19, 213; 342/357, 455; 364/449, 461; 340/901, 933, 903, 943; 246/473.1, 122 R, 126, 125, 174, 176, 167 R

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

## PCT

WRITTEN OPINION

(PCT Rule 66)

To: ROGER N. CHAUZA  
SIDLEY & AUSTIN  
717 N. HARWOOD STREET  
SUITE 3400  
DALLAS TX 75201

RECEIVED - DOCKETING

AUG 09 1999

SIDLEY & AUSTIN

Date of Mailing  
(day/month/year)

04 AUG 1999

Applicant's or agent's file reference

10683/00403

REPLY DUE

within TWO months  
from the above date of mailing

*Docketed*

International application No.

PCT/US98/17099

International filing date (day/month/year)

18 AUGUST 1998

Priority date (day/month/year)

18 AUGUST 1997

International Patent Classification (IPC) or both national classification and IPC  
Please See Supplemental Sheet.

Applicant

DYNAMIC VEHICLE SAFETY SYSTEMS, INC.

1. This written opinion is the first (first, etc.) drawn by this International Preliminary Examining Authority.

2. This opinion contains indications relating to the following items:

- I ☒ Basis of the opinion
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step or industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

3. The applicant is hereby invited to reply to this opinion.

**When?** See the time limit indicated above. ~~The applicant may, before the expiration of that time limit, request this Authority to grant an extension, see Rule 66.2(d).~~

**How?** By submitting a written reply, accompanied, where appropriate, by amendments, according to Rule 66.3. For the form and the language of the amendments, see Rules 66.8 and 66.9.

**Also** For an additional opportunity to submit amendments, see Rule 66.4.  
For the examiner's obligation to consider amendments and/or arguments, see Rule 66.4 *bis*.  
For an informal communication with the examiner, see Rule 66.6.

If no reply is filed, the international preliminary examination report will be established on the basis of this opinion.

4. The final date by which the international preliminary examination report must be established according to Rule 69.2 is: 18 DECEMBER 1999

Name and mailing address of the IPEA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

WILLIAM A. CUCHLINSKI JR.

Telephone No. (703) 308-3873

**I. Basis of the opinion**

1. This opinion has been drawn on the basis of *(Substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this opinion as "originally filed".)*:

- ☐ the international application as originally filed.
- ☒ the description, pages 1-19 , as originally filed.  
pages NONE , filed with the demand.  
pages NONE , filed with the letter of \_\_\_\_\_
- ☒ the claims, Nos. NONE , as originally filed.  
Nos. NONE , as amended under Article 19.  
Nos. 1-22 , filed with the demand.  
Nos. NONE , filed with the letter of \_\_\_\_\_
- ☒ the drawings, sheets/~~fig~~ 1-3 , as originally filed.  
sheets/~~fig~~ NONE , filed with the demand.  
sheets/~~fig~~ NONE , filed with the letter of \_\_\_\_\_

2. The amendments have resulted in the cancellation of:

- ☒ the description, pages none
- ☒ the claims, Nos. none
- ☒ the drawings, sheets/~~fig~~ none

3. ☐ This opinion has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the ~~Supplemental Box~~ Additional observations below (Rule 70.2(c)).

4. Additional observations, if necessary:

NONE

WRITTEN OPINION

International application No.

PCT/US98/17099

**V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

**1. STATEMENT**

Novelty (N)	Claims <u>none</u>	YES
	Claims <u>1-22</u>	NO
Inventive Step (IS)	Claims <u>none</u>	YES
	Claims <u>1-22</u>	NO
Industrial Applicability (IA)	Claims <u>1-22</u>	YES
	Claims <u>none</u>	NO

**2. CITATIONS AND EXPLANATIONS**

Claims 1-22 lacks novelty under PCT Article 33(2) as being anticipated by Shirkey et al. (5,554,982).

Shirkey et al. discloses a train collision avoidance system comprising a data base storing geographical train grade crossing data, a processor programmed to receive GPS vehicle location data that periodically identifies a location of a road vehicle and programmed to use GPS vehicle location data and train grade crossing data to determine if the road is within a predefined distance and the processor is programmed to provide a sensory indication when the road vehicle is within a predefined distance from the grade crossing (Column 2 lines 16-39); a data base with train grade crossing data, direction data of a road that intersects a railroad track at the grade crossing (Column 2 lines 23-29); wherein a GPS signal is processed to provide a radius of protection around the road vehicle (Column 2 lines 47-60); the prescribed distance is varied according to the speed of the road vehicle (Column 4 lines 1-19).

----- NEW CITATIONS -----  
NONE

WRITTEN OPINION

International application No.

PCT/US98/17099

**Supplemental Box**

(To be used when the space in any of the preceding boxes is not sufficient)

Continuation of: Boxes I - VIII

Sheet 10

**TIME LIMIT:**

The time limit set for response to a Written Opinion may not be extended. 37 CFR 1.484(d). Any response received after the expiration of the time limit set in the Written Opinion will not be considered in preparing the International Preliminary Examination Report.

**CLASSIFICATION:**

The International Patent Classification (IPC) and/or the National classification are as listed below:

IPC(6): G01S 3/02; G08G 1/01; B61L 29/00; B61L 25/00; G08G 1/16; G08G 1/095 and US Cl.: 701/19, 213; 342/357, 455; 364/449, 461; 340/901, 933, 903, 943; 246/473.1, 122 R, 126, 125, 174, 176, 167 R